



**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

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**DEFINITIONS**

As used in this Subcontract, the following terms shall have the meanings set forth below:

“**Buyer**” means Ball Aerospace & Technologies Corp. as represented by an authorized representative.

“**Buyer’s Customer**” means Buyer’s customer(s) under the Prime Contract, as applicable.

“**Government**” means the United States of America (“U.S.”) or its authorized representative.

“**Parties**” means Buyer and Seller collectively, as referred to herein.

“**Party**” means Buyer or Seller individually, as referred to herein.

“**Prime Contract**” means the contract, if any, between Buyer and the Government or between Buyer and a higher-tier customer.

“**Seller**” means the party identified on the face of this Subcontract with whom Buyer is contracting, including any applicable Seller personnel (e.g., Seller’s officers, employees, agents, and suppliers and other Subcontractors).

“**Subcontract**” means the purchase order or subcontract or other such type designation, including all referenced documents, exhibits, and attachments, under which these terms and conditions are incorporated by reference.

“**Subcontractor**” means any supplier or other company, at any tier, utilized by Seller to perform Work required by this Subcontract.

“**Work**” means all required labor, articles, materials, supplies, goods, and services constituting the subject matter of this Subcontract.

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**SECTION I – GENERAL PROVISIONS**

**ACCEPTANCE OF SUBCONTRACT TERMS AND CONDITIONS**

(a) This Subcontract integrates, merges, and supersedes any prior offers, negotiations, and agreements (including any letter contract) concerning the subject matter hereof and constitutes the entire agreement between the Parties. Seller’s acknowledgment, acceptance of payment, or commencement of performance shall constitute Seller’s unqualified acceptance of this Subcontract.

(b) Unless expressly accepted in writing by Buyer, additional or differing terms or conditions proposed by Seller or included in Seller’s acknowledgment are objected to by Buyer and have no effect.

(c) The headings used in this Subcontract are inserted for the convenience of the Parties and shall not define, limit, or describe the scope or the intent of the provisions of this Subcontract.

(d) Seller shall include in each lower-tier subcontract the appropriate flowdown clauses as required by the FAR and DFARS.

**APPLICABLE LAWS**

(a) This Subcontract and any matter arising out of or related to this Subcontract shall be governed by and construed and enforced in accordance with the laws of the state shown in Buyer’s address printed on the face of this Subcontract (without regard to that state’s conflicts of laws provisions), including its provisions of the Uniform Commercial Code, but specifically excluding the provisions of the 1980 U.N. Convention on the International Sale of Goods. However, any provision in this Subcontract that is (1) incorporated in full text or by reference from the Federal Acquisition Regulation (“FAR”), (2) incorporated in full text or by reference from any agency regulation that implements or supplements

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**Ball Aerospace & Technologies Corp. Proprietary Information**

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### TERMS AND CONDITIONS – GOVERNMENT GENERAL PROVISIONS AND FAR FLOWDOWNS

the FAR, or (3) substantially based on any such agency regulation or FAR provision, shall be construed and interpreted according to the federal common law of Government contracts as enunciated and applied by federal judicial bodies, boards of contract appeals, and quasi-judicial agencies of the Government.

(b) Seller represents and warrants that all Work has been manufactured and sold, and all services provided, in compliance with: (1) all applicable local, state, and federal laws, orders, rules, regulations, and ordinances of the U.S. and the country where Seller will be performing this Subcontract; and (2) Buyer's "Supplier Guiding Principles", which are contained in Section IV of this document. In performing this Subcontract, Seller shall procure all relevant licenses/permits, and pay all fees, taxes, and other required charges, and shall comply with all applicable guidelines and directives of any local, state, and/or federal governmental authority.

(c) Seller shall be responsible for compliance with all requirements and obligations relating to its employees under all local, state, and federal statutes, ordinances, rules, and obligations including, but not limited to, employer's obligations under laws relating to: income tax withholding and reporting; civil rights; equal employment opportunity; discrimination on the basis of age, sex, race, color, religion, disability, national origin, or veteran status; overtime; minimum wage; social security contribution and withholding; unemployment insurance; employer's liability insurance; workers' compensation; veteran's rights; and all other employment, labor, or benefits related laws.

(d) If as a result of any violation of applicable laws, orders, rules, regulations, or ordinances by Seller (1) Buyer's contract price or fee is reduced, (2) Buyer's costs are determined to be unallowable, (3) any fines, penalties, withholdings, or interest are assessed against Buyer, or (4) Buyer incurs any other costs or damages, Buyer may proceed as provided for in (f) below.

(e) Where submission of cost or pricing data is required or requested at any time prior to or during performance of this Subcontract, if Seller or its Subcontractors (1) submit and/or certify cost or pricing data that are defective, (2) claim an exception to a requirement to submit cost or pricing data and such exception is invalid, (3) furnish data of any description that is inaccurate, or (4) if the Government alleges any of the foregoing, and, as a result, (1) Buyer's contract price or fee is reduced, (2) Buyer's costs are determined to be unallowable, (3) any fines, penalties, withholdings, or interest are assessed against Buyer, or (4) Buyer incurs any other costs or damages, Buyer may proceed as provided for in (f) below.

(f) Upon the occurrence of any of the circumstances, other than withholdings, identified in paragraphs (d) and (e) above (e.g., price or fee reductions, fines, and penalties), Buyer may make a reduction of corresponding amounts (in whole or in part) in the costs and fee/price of this Subcontract or any other contract with Seller and/or may demand payment (in whole or in part) of the corresponding amounts. Seller shall promptly pay amounts so demanded. In the case of withholding(s), Buyer may withhold the same amount from Seller under this Subcontract.

#### ASSIGNMENT

(a) Seller shall not assign its rights or obligations under this Subcontract without Buyer's prior written consent. Any purported assignment without Buyer's written consent shall be void. Seller agrees to obtain Buyer's written consent before subcontracting this Subcontract or any portion thereof; provided, however, that this limitation shall not apply to the purchase of standard commercial goods or raw material.

(b) Seller may assign rights to be paid amounts due, or to become due, under this Subcontract to a financing institution if Buyer is promptly furnished a signed copy of such assignment reasonably in advance of the due date for payment of any such amounts. Amounts assigned shall be subject to setoff or recoupment for any present or future claims of Buyer against Seller. Buyer shall have the right to make settlements and/or adjustments in the price of this Subcontract without notice to any assignee financing institution.

#### COMMUNICATION WITH BUYER'S CUSTOMER

Buyer shall be solely responsible for all liaison and communication with Buyer's Customer, including the Government, as it affects the applicable Prime Contract, this Subcontract, and any related contract or agreement.

#### CONSEQUENTIAL DAMAGES

EXCEPT AS PROVIDED OTHERWISE IN THIS SUBCONTRACT, NEITHER PARTY WILL BE LIABLE TO THE OTHER PARTY (OR ANY PERSON OR ENTITY CLAIMING THROUGH SUCH OTHER PARTY) FOR ANY CONSEQUENTIAL, SPECIAL, PUNITIVE,

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**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

EXEMPLARY, OR INCIDENTAL LOSSES OR DAMAGES, INCLUDING LOST PROFITS, ARISING OUT OF OR IN CONNECTION WITH THIS SUBCONTRACT, REGARDLESS OF THE CAUSE OF ACTION AND WHETHER OR NOT SUCH PARTY HAS BEEN INFORMED OF, OR OTHERWISE MIGHT HAVE ANTICIPATED, THE POSSIBILITY OF SUCH DAMAGES.

**CONTRACT DIRECTION**

(a) Sole authority to make changes in or amendments to this Subcontract and to affect deviations (by way of addition or deletion) from Work specified herein is granted by Buyer to Buyer's Supply Management Representative ("SMR") for this Subcontract (as indicated on the face of this Subcontract). All contractual direction, in order to be valid, must be written and signed by the SMR or his/her authorized representative. Buyer reserves the right to change or amend the SMR or his/her contact information at any time provided notification is sent to Seller within ten (10) days of any such change.

(b) Except as otherwise provided herein, all notices to be furnished by Seller to Buyer shall be in writing and sent to Buyer's SMR.

**COUNTERFEIT WORK**

(a) For purposes of this clause, Work consists of those parts delivered under this Subcontract that are the lowest level of separately identifiable items (e.g., articles, components, goods, and assemblies). "Counterfeit Work" means Work that: (1) is or contains items misrepresented as having been designed and/or produced under an approved system or other acceptable method; (2) is not genuine and authentic; or (3) has reached a design life limit or has been damaged beyond possible repair, but is altered and misrepresented as acceptable.

(b) Seller agrees and shall ensure that Counterfeit Work is not delivered to Buyer under this Subcontract.

(c) Seller shall only purchase products to be delivered or incorporated as Work to Buyer directly from the Original Component Manufacturer ("OCM")/Original Equipment Manufacturer ("OEM"), or through an OCM/OEM authorized distributor chain. Such products shall not be acquired from independent distributors or brokers unless approved in advance in writing by Buyer.

(d) If Seller becomes aware or suspects that it has furnished any Counterfeit Work to Buyer, Seller shall immediately notify Buyer. When requested by Buyer, Seller shall provide Buyer with OCM/OEM documentation that authenticates traceability of the parts, components, or equipment to the applicable OCM/OEM.

(e) In the event Work delivered under this Subcontract constitutes or includes Counterfeit Work, Seller shall, at its sole cost and expense, promptly replace such Counterfeit Work with genuine and authentic Work conforming to all requirements of this Subcontract. Buyer shall be under no obligation to return suspect or confirmed Counterfeit Work. Notwithstanding any clause or provision in this Subcontract to the contrary, Seller shall be liable, without limitation, to Buyer for all costs and expenses relating to the investigation, analysis, and disposition (including removal and replacement) of Counterfeit Work. The remedies contained in this paragraph are in addition to any other remedies Buyer may have at law, equity, or under other provisions of this Subcontract.

(f) This clause applies in addition to any quality provision, specification, statement of work, or other provision included in this Subcontract addressing the authenticity of Work. To the extent such provisions conflict with this clause, this clause prevails.

(g) In accordance with AS5553, "Counterfeit Electronic Parts: Avoidance, Detection, Mitigation, and Disposition," Seller shall be aware of penalties associated with fraud and falsification, and shall comply with all applicable standards and laws regarding Counterfeit Work.

(h) Seller shall include this clause or an equivalent provision in all lower-tier subcontracts for the delivery of items that will be furnished to, or included in Work furnished to, Buyer under this Subcontract.

**DIMINISHING MANUFACTURING SOURCES AND MATERIAL SHORTAGES/LIFETIME BUY**

(a) At any time during the life of this Subcontract, if Seller becomes aware of diminishing manufacturing sources or material shortages related to any Work to be provided under this Subcontract, Seller shall immediately notify Buyer in writing.

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(b) In addition to the provisions in paragraph (a), at any time during the life of this Subcontract, if Seller determines to stop production of any item to be provided under this Subcontract, Seller shall notify Buyer at least twelve (12) months prior to such stop in production. The notice shall be specifically identified as a “Notice of Stop in Production” issued under this clause. Seller’s notice shall include the anticipated date of the stop in production and the last day orders will be accepted to purchase the item at issue (“Final Order Date”). The Final Order Date shall be at least ninety (90) days from Buyer’s receipt of the Notice of Stop in Production. So long as Buyer submits an order prior to the Final Order Date, Seller shall allow Buyer to purchase sufficient quantities of the item at issue to perform Work anticipated by this Subcontract (i.e., a “Lifetime Buy”). Seller shall cooperate with Buyer, and shall respond to any and all requests by Buyer for pricing and delivery information regarding a Lifetime Buy.

#### DISPUTES

(a) All disputes, controversies, or claims arising out of or in connection with this Subcontract, or the breach, termination, or invalidity thereof, that after good faith negotiations cannot be resolved by the Parties, may be resolved by submitting the dispute, controversy, or claim to a court of competent jurisdiction or, if agreed upon by the Parties, an arbitration proceeding.

(b) Pending final resolution or settlement of any dispute arising under this Subcontract, Seller shall proceed diligently with the performance of this Subcontract as directed by Buyer.

#### ELECTRONIC CONTRACTING

The Parties agree that if this Subcontract is transmitted electronically neither Party shall contest the validity of this Subcontract, or any acknowledgment thereof, on the basis that this Subcontract or the acknowledgment contains an electronic signature.

#### EQUAL EMPLOYMENT OPPORTUNITY

Ball Aerospace & Technologies Corp is an equal employment opportunity employer and is a federal contractor. Consequently, the parties agree that, to the extent applicable, they will comply with Executive Order 11246, the Vietnam Era Veterans Readjustment Assistance Act of 1974 and Section 503 of the Vocational Rehabilitation Act of 1973 and also agree that these laws are incorporated herein by this reference. The contractor also agrees to comply with the provisions of Executive Order 13496 (29 CFR Part 471, Appendix A to Subpart A), as applicable, relating to the notice of employee rights under federal labor laws.

#### EXPORT CONTROL

(a) Seller shall comply with all applicable U.S. export control and economic sanctions laws and regulations including, but not limited to, the requirements of: (1) the International Traffic in Arms Regulations (“ITAR”) (22 C.F.R. 120-130) as amended; (2) the Export Administration Regulations (“EAR”) (15 C.F.R. 730-774) as amended; (3) the Office of Foreign Assets Control Regulations (31 C.F.R. 501-598) as amended; and (4) Department of Defense Directive 5230.25, Withholding of Unclassified Technical Data from Public Disclosure. Seller shall not transfer any export controlled item, data, or service, to include transfer to foreign persons employed by, associated with, or under contract to Seller or Seller’s Subcontractors, without the authority of an export license, agreement, or applicable exemption or exception.

(b) Seller shall notify Buyer if any deliverable under this Subcontract is restricted by export control laws or regulations. Before providing Buyer any such deliverable, Seller shall notify Buyer in writing of the correct export jurisdiction and classification of the deliverable (i.e., whether the deliverable is subject to the ITAR or EAR).

(c) Seller represents that Seller is not listed in any of the restricted or excluded party lists maintained by the Government including, but not limited to, the Specially Designated Nationals List published by the U.S. Department of the Treasury, Office of Foreign Assets Control and the Denied Persons List, Entity List, and Unverified List published by the U.S. Department of Commerce, Bureau of Industry and Security (collectively the “Restricted or Excluded Party Lists”). Seller shall immediately notify Buyer if: (1) Seller becomes listed in any of the Restricted or Excluded Party Lists; (2) Seller’s export privileges are denied, suspended, or revoked in whole or in part by any U.S. or non-U.S. government entity or agency; or (3) Seller is or becomes involved in any violation or potential violation of the ITAR or EAR that could affect Seller’s performance of this Subcontract.

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**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

(d) If Seller is engaged in the business of exporting, manufacturing (whether exporting or not), or furnishing an/a item, data, or service subject to the ITAR, Seller represents that it is registered with the U.S. Department of State, Directorate of Defense Trade Controls, as required by the ITAR, and it maintains an effective export/import compliance program in accordance with the ITAR. If Seller is engaged in the business of exporting, manufacturing (whether exporting or not), or furnishing an/a item, data, or service subject to the EAR, Seller represents that it maintains an effective export/import compliance program in accordance with the EAR. Upon Buyer's request, Seller shall promptly provide Buyer with copies of policy and procedure documentation evidencing Seller's effective export/import compliance program in accordance with the ITAR and/or EAR, as relevant.

(e) Where Seller is a signatory under a Buyer export license or agreement, Seller shall comply with such license or agreement and immediately notify Buyer in writing of any changed circumstances relating to Seller's export/import activities that could affect Seller's performance of this Subcontract including, but not limited to, ineligibility to export/import, a violation or potential violation of the ITAR or EAR, or the initiation or existence of a U.S. or non-U.S. government investigation into Seller's export/import practices. Seller shall provide Buyer all information and documentation as may reasonably be required by Buyer for Buyer to prepare and submit any export license or agreement application relevant to this Subcontract.

(f) Seller shall include paragraphs (a) through (e), and this paragraph (f), of this clause or equivalent provisions in all lower-tier subcontracts entered into by Seller to perform Work required by this Subcontract.

(g) Seller shall indemnify and hold Buyer harmless from and against any and all penalties, fines, losses, costs, claims, causes of action, damages, liabilities, and expenses, including, but not limited to, attorneys' fees, all expenses of litigation and/or settlement, and court costs, arising from any failure of Seller to comply with this clause.

**EXTRAS**

Work shall not be supplied in excess of quantities specified in this Subcontract. Seller shall be liable for handling charges and return shipment costs for any excess quantities, unless agreed to in writing by Buyer.

**FEE** (Applies if this Subcontract includes a fee.)

Buyer shall pay Seller, for performing this Subcontract, the fee as specified in this Subcontract.

**FORCE MAJEURE**

(a) Neither Party shall be liable for damages for delay in delivery arising out of causes beyond its reasonable control and without its fault or negligence including, but not limited to, acts of God or of the public enemy, acts of any U.S. or foreign governmental authority, fires, floods, epidemics, quarantine restrictions, strikes, embargoes, or unusually severe weather.

(b) If the delay in delivery is caused by the delay of a Subcontractor of Seller and if such delay arises out of causes beyond the reasonable control of both Seller and the Subcontractor, and without fault or negligence of either of them, Seller shall not be liable to Buyer in damages unless the articles or services to be furnished by the Subcontractor were obtainable from other sources in sufficient time to permit Seller to meet the required delivery schedule. Seller shall notify Buyer in writing within ten (10) days after the beginning of any such delay.

**FURNISHED PROPERTY**

(a) Buyer may provide to Seller property owned by either Buyer or Buyer's Customer ("Furnished Property"). Furnished Property shall be used only for the performance of this Subcontract.

(b) Seller shall clearly mark, maintain an inventory of, and keep segregated or identifiable all Furnished Property. Seller assumes all risk of loss, destruction, or damage to Furnished Property, except reasonable wear and tear, while in Seller's possession, custody, or control. Seller shall manage, maintain, and preserve Furnished Property in accordance with good commercial practices.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

(c) Title to Furnished Property shall not be affected by the incorporation or attachment of such property to any property not owned by Buyer or Buyer's Customer, nor shall Furnished Property, or any part thereof, be or become a fixture or lose its identity due to its attachment to Seller's realty. Seller shall immediately notify Buyer if Furnished Property is lost, damaged, or destroyed. Seller shall include nothing in its prices for direct damage insurance on Furnished Property.

(d) As directed by Buyer and/or upon completion, termination, or cancellation of this Subcontract, Seller shall submit, in an acceptable form, an inventory list of Furnished Property in Seller's possession and shall promptly deliver Furnished Property in Seller's possession, to the extent such property has not been incorporated into Work delivered to Buyer, to Buyer or make such other disposal as may be directed by Buyer. Particularly, Seller shall promptly return to Buyer all drawings, specifications, and other data furnished by Buyer in connection herewith, together with all copies or reprints then in Seller's possession and control. Further, Seller shall thereafter make no further use of nor disclose to others any such drawings, specifications, data, or documents, or any information derived therefrom, without Buyer's prior written consent.

(e) Buyer shall have the right to enter Seller's premises at reasonable times to inspect Furnished Property and Seller's records pertaining to such property. At the request of Buyer, Seller shall execute any documents including, but not limited to, financial statements, required by Buyer to protect Buyer's and/or Buyer's Customer's interest in Furnished Property.

(f) Seller's obligations under this clause shall survive termination or completion of this Subcontract until such time that Seller shall deliver Furnished Property to Buyer or be relieved of this responsibility as directed in writing from Buyer.

(g) The Government Property clause contained in Section II of this document shall apply in lieu of this clause with respect to Government-furnished property, or property to which the Government may take title under this Subcontract.

**GRATUITIES/KICKBACKS/BRIBERY/ANTI-BOYCOTT**

(a) Seller represents and warrants that neither it nor any of its employees, agents, or representatives has offered or given any kickback or gratuity to Buyer's employees, agents, or representatives with a view toward securing this Subcontract or securing favorable treatment with respect thereto.

(b) Seller represents and warrants that: (1) it and all of its employees, agents, or representatives, and any other person or entity working for or on behalf of any of the foregoing (collectively, "Seller Parties"), are familiar with and understand the provisions and requirements of the U.S. Foreign Corrupt Practices Act of 1977 as amended and all other anti-corruption and/or anti-bribery laws, regulations, and requirements of any jurisdiction applicable to Seller Parties (collectively, "Applicable Anti-Corruption Laws"); and (2) Seller Parties, at all times and in all actions relating to this Subcontract, shall be in compliance with Applicable Anti-Corruption Laws.

(c) By accepting this Subcontract, Seller certifies and represents that it has not made or solicited and will not make or solicit kickbacks in violation of FAR 52.203-7 or the Anti-Kickback Act of 1986 (41 U.S.C. 51-58) as amended, both of which are incorporated herein by reference, except that paragraph (c)(1) of FAR 52.203-7 shall not apply.

(d) Seller shall comply, to the extent applicable, with U.S. laws regarding boycotts, embargoes, and economic sanctions against certain countries, entities, and individuals.

**HAZARDOUS MATERIALS AND DANGEROUS GOODS**

Packaging, packing, marking, labeling, shipping paper designations and certifications, handling, and movement of materials ordered herein shall be in accordance with all relevant and applicable U.S. laws and regulations, including 49 C.F.R., Subt B, Ch. I, Subch. C, and all international regulations (e.g., International Air Transport Association ("IATA") or International Maritime Dangerous Goods ("IMDG")) covering shipments of dangerous goods or hazardous materials.

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**(a) Chemical Substances**

Seller represents that each chemical substance constituting or contained in Work sold or otherwise transferred to Buyer hereunder is on the list of chemical substances compiled and published by the U.S. Environmental Protection Agency (“EPA”) pursuant to the Toxic Substances Control Act (15 U.S.C. 2601-2692) as amended.

**(b) Material Safety Data Sheet**

(1) Prior to shipping hazardous materials (solids, liquids, cryogenic liquids, gases) hereunder, Seller shall provide Buyer with a copy (electronic submittal preferred) of the Material Safety Data Sheet (“MSDS”) for each material, inclusive of all required information as described in 29 C.F.R. 1910.1200 as amended. Seller also shall include one (1) hard copy of the MSDS with the shipment of the material(s).

(2) Definitions - A hazardous material is a material which: (A) is defined as a hazardous material by the Occupational Safety and Health Act of 1970, U.S. Department of Transportation, EPA, IATA, IMDG, or any other federal, state, or local environmental, health, or safety agency; (B) in the course of normal operations or foreseeable emergencies, may produce dusts, gases, vapors, mists, fumes, or smoke; or (C) if used without special precautions, would constitute a health or physical hazard to humans.

(3) Where two (2) or more hazardous materials are supplied separately or in kit form for the purpose of combining such materials to form an end compound, which is the result, in whole or in part, of a chemical reaction, Seller shall provide an MSDS for the end compound as well as for each component part.

(4) Proprietary or trade secret information about products containing a hazardous material, as defined above, shall be disclosed as required by 42 U.S.C. 11043, 40 C.F.R. 372.45, and 29 C.F.R. 1910.1200(i), as amended.

(5) Mandatory resubmission of an MSDS is required with each change in: (A) formulation of the material that affects its hazardous characteristics; (B) information regarding the material’s hazardous characteristics; and (C) information regarding handling procedures for the material.

**(c) Hazardous Materials Incorporated Into Work**

For materials that do not require an MSDS, Seller agrees to submit a list to Buyer of the hazardous materials, including those considered as ingredients, with their common chemical names and percentage of content of each to be incorporated into Work provided under this Subcontract. A statement shall be made to the effect that the degree of toxicity will not be increased by making any change in the composition without first advising Buyer. All shipments CONTAINING hazardous materials shall be clearly marked in accordance with all applicable federal, state, and local regulations.

**(d) Ozone Depleting Substances**

Seller shall label products which contain or are manufactured with ozone depleting substances in the manner and to the extent required by 40 C.F.R. Part 82, Subpart E, as follows:

**WARNING:** Contains (or manufactured with, if applicable) \* \_\_\_\_\_, a substance(s) which harm(s) public health and environment by destroying ozone in the upper atmosphere.

\*Seller shall insert the name of the substance(s).

**(e) Radioactive Materials**

Seller shall notify Buyer, in writing, sixty (60) days prior to the delivery of, or prior to completion of any servicing required by this Subcontract of, items containing either: (1) radioactive material requiring specific licensing under the regulations issued pursuant to the Atomic Energy Act of 1954 as amended, as set forth in Title 10 of the Code of Federal Regulations, in effect on the date of this Subcontract; or (2) other radioactive material not requiring specific licensing in which the specific activity is greater than .002 microcuries per gram or the activity per item equals or exceeds .01 microcuries. Such notice shall specify the part or parts of the items which contain radioactive materials, a description of the materials, the name and activity of the isotope, the manufacturer of the materials, and any other information known to Seller which will put users of the items on notice as to the hazards involved (OMB No. 9000-0107). If there has been no change affecting the quantity of activity, or the characteristics and composition of the radioactive material from deliveries under this Subcontract or prior subcontracts, Seller may request

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**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

that Buyer waive this notice requirement. Any such request shall: (1) be submitted in writing; (2) state that the quantity of activity, characteristics, and composition of the radioactive material have not changed; and (3) cite the subcontract number on which the prior notification was submitted and the Buyer party to which it was submitted. All items, parts, or subassemblies which contain radioactive materials in which the specific activity is greater than 0.002 microcuries per gram or the activity per item equals or exceeds 0.01 microcuries, and all containers in which such items, parts, or subassemblies are delivered to Buyer, shall be clearly marked and labeled as required by the latest revision of MIL-STD 129 in effect on the date of this Subcontract. This paragraph (e) shall be inserted in all Buyer subcontracts for radioactive materials meeting the criteria in the first sentence of this paragraph.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

**INDEPENDENT CONTRACTOR RELATIONSHIP AND SELLER PERSONNEL**

- (a) Seller's relationship to Buyer shall be that of an independent contractor and this Subcontract does not create an agency, partnership, or joint venture relationship between Buyer and Seller or Buyer and Seller personnel. Seller is not granted and shall not exercise the right or authority to assume or create any obligation or responsibility including, without limitation, contractual obligations and obligations based on warranties or guarantees, on behalf of or in the name of Buyer. Seller shall not misrepresent its authority to any third-party. Personnel supplied by Seller hereunder shall be deemed employees of Seller and shall not for any purposes be considered employees or agents of Buyer. Seller assumes full responsibility for the actions and supervision of such personnel while performing Work under this Subcontract. Buyer assumes no liability for Seller personnel.
- (b) Seller shall inform Buyer if a former employee of Buyer or its parent or any subsidiary will be assigned Work under this Subcontract, and any such assignment shall be subject to Buyer's consent.
- (c) Nothing contained in this Subcontract shall be construed as granting to Seller or any Seller personnel rights under any Buyer benefit plan.
- (d) Seller shall ensure that Seller personnel assigned to work on Buyer's or Buyer's Customer's premises comply with any on-premises guidelines and: (1) do not bring weapons of any kind onto Buyer's or Buyer's Customer's premises; (2) do not manufacture, sell, distribute, possess, use, or be under the influence of controlled substances or alcoholic beverages while on Buyer's or Buyer's Customer's premises; (3) do not possess hazardous materials of any kind on Buyer's or Buyer's Customer's premises without Buyer's authorization; (4) remain in authorized areas only; (5) not conduct any non-Buyer related business activities (such as interviews, hirings, dismissals, or personal solicitations) on Buyer's or Buyer's Customer's premises; (6) not send or receive non-Buyer related mail through Buyer's or Buyer's Customer's mail systems; and (7) not sell, advertise, or market any products or memberships, or distribute printed, written, or graphic materials, on Buyer's or Buyer's Customer's premises without Buyer's written permission or as permitted by law.
- (e) All persons, property, and vehicles entering or leaving Buyer's or Buyer's Customer's premises are subject to search.
- (f) Seller shall promptly notify Buyer and provide a report of any accidents or security incidents involving loss of or misuse or damage to Buyer's or Buyer's Customer's intellectual or physical assets.
- (g) Prior to entry on Buyer's or Buyer's Customer's premises, Seller shall coordinate with Buyer to gain access to facilities. Seller shall provide information reasonably required by Buyer or Buyer's Customer to ensure proper identification of Seller personnel including, but not limited to, verification of citizenship, lawful permanent resident status, protected individual status, or other status. Seller personnel shall comply with all Buyer or Buyer's Customer security, safety, rules of conduct, badging and personal identity, and related requirements while on Buyer's or Buyer's Customer's premises.
- (h) Seller personnel shall: (1) not remove Buyer or Buyer's Customer assets from Buyer's or Buyer's Customer's premises without Buyer's authorization; (2) use Buyer or Buyer's Customer assets only for purposes of this Subcontract; (3) only connect with, interact with, or use computer resources, networks, programs, tools, or routines that Buyer agrees are needed to provide services related to this Subcontract; and (4) not share or disclose Buyer or Buyer's Customer user identifiers, passwords, cipher keys, or computer dial port telephone numbers. Buyer may periodically audit Seller's data residing on Buyer or Buyer's Customer information assets.
- (i) Buyer may, at its sole discretion, have Seller remove any specified employee of Seller from Buyer's premises and request that such employee not be reassigned to Buyer's premises for Work under this Subcontract.
- (j) Violation of this clause may result in termination of this Subcontract in addition to any other remedy available to Buyer at law or in equity. Seller shall reimburse Buyer or Buyer's Customer for any unauthorized use of Buyer or Buyer's Customer assets.
- (k) Seller shall be responsible for and indemnify, defend, and hold harmless Buyer (and Buyer's affiliates and their respective directors, officers, employees, and agents) and Buyer's Customer from and against all losses, costs, claims, causes of action, damages, liabilities, and expenses, including attorneys' fees, all expenses of litigation and/or settlement, and court costs, by reason of property damage or loss or injury or death to any person caused in whole or in part by the actions or omissions of Seller or Seller personnel.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

(l) Seller shall indemnify, defend, and hold harmless Buyer (and Buyer’s affiliates and their respective directors, officers, employees, and agents) from and against any actual or alleged liability, losses, costs, damages, attorneys’ fees, and other expenses which Buyer may sustain or incur in consequence of: (1) Seller’s failure to pay any Seller personnel for Work rendered under this Subcontract; or (2) any claims made by Seller personnel against Buyer.

**INFORMATION OF BUYER**

(a) Information provided by Buyer to Seller remains the property of Buyer. Seller agrees to keep confidential and otherwise protect from disclosure all information obtained by Seller from Buyer in connection with this Subcontract and identified by Buyer as confidential or proprietary including, but not limited to, information subject to a non-disclosure agreement between the Parties. Unless otherwise expressly authorized herein or by Buyer, Seller shall use such information, and any other information provided by Buyer to Seller, only in the performance of and for the purposes of this Subcontract. Seller shall maintain data protection processes and systems sufficient to adequately protect Buyer’s information and comply with any law or regulation applicable to such information.

(b) If Seller becomes aware of any compromise of information provided by Buyer to Seller (an “Incident”), Seller shall take appropriate immediate actions to investigate and contain the Incident and any associated risks, and shall promptly notify Buyer of the Incident. As used in this clause “compromise” means that any information provided by Buyer has been exposed to unauthorized access, inadvertent disclosure, known misuse, loss, destruction, or alteration other than as required to perform Work. Seller shall additionally provide its reasonable cooperation to Buyer in any investigation Buyer may conduct regarding the nature and scope of an Incident. Any costs that may be incurred by Buyer or Seller for investigative or remedial actions related to an Incident shall be borne by Seller.

(c) Any Buyer provided information identified as proprietary, confidential, or subject to restrictions on public disclosure by law or regulation shall be encrypted by Seller: (1) if transmitted via the Internet; or (2) during electronic storage if potentially accessible by the Internet or otherwise by non-authorized users.

(d) Upon Buyer’s request, and in any event upon the completion or cancellation of this Subcontract, Seller shall return to Buyer all confidential or proprietary information provided by Buyer to Seller or make such other disposition as may be directed by Buyer. In all subcontracts issued by Seller for performing Work, Seller shall, with Buyer’s prior written consent, be permitted to disclose Buyer’s information under the same obligations as are contained in this clause.

(e) Seller shall be liable to Buyer for any loss of Buyer’s confidential or proprietary information provided by Buyer to Seller.

(f) Prior to commencement of Work, Seller shall have a written agreement with all Seller personnel performing services hereunder sufficient to enable Seller to comply with this clause.

(g) The provisions in this clause are in addition to and do not alter, change, or supersede any obligations contained in any non-disclosure agreement between the Parties.

**INFORMATION OF SELLER**

Seller shall not provide any confidential or proprietary information to Buyer without prior execution of a non-disclosure agreement by the Parties.

**INSURANCE**

(a) Seller shall be solely responsible for any and all third-party liability incurred by it in connection with the performance of this Subcontract.

(b) Seller shall maintain the following types of insurance for the duration of this Subcontract: (1) Workers’ Compensation and Employer’s Liability Insurance in accordance with applicable Workers’ Compensation and Occupational Disease statutes; (2) Employer’s Liability Insurance coverage with limits of \$1,000,000; and (3) Commercial General Liability Insurance, which shall name Ball Corporation as an additional insured, and with not less than the following limits required: (A) Bodily Injury \$3,000,000 per person, \$3,000,000 per occurrence; (B) Property Damage

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

\$3,000,000 per occurrence; (C) Automotive \$1,000,000 per occurrence; and (D) Products and Completed Operations \$3,000,000 aggregate. These limits may be changed by Buyer upon written notice to Seller.

(c) As evidence of Seller’s compliance with paragraph (b) of this clause, upon request, Seller shall furnish to Buyer “Certificates of Insurance” evidencing that Seller has met these requirements. Seller’s insurance maintained pursuant to this clause shall be considered primary with respect to the interest of Buyer and such insurance is not contributory with any insurance which Buyer may carry. Seller’s obligations for maintaining insurance coverages herein are freestanding and are not affected by any other language in this Subcontract.

(d) Seller shall provide Buyer thirty (30) days advance written notice prior to the effective date of any cancellation or change in the term or coverage of any of Seller’s required insurance, provided however such notice shall not relieve Seller of its obligation to maintain the required insurance.

(e) If the nature of Work is such that Seller will require use of additional contractors, each such Subcontractor shall be required to maintain insurance coverage in the amounts outlined in paragraph (b) of this clause and likewise shall furnish “Certificates of Insurance” to Seller evidencing such coverage. Seller shall maintain a copy of all such “Certificates of Insurance” and shall make copies available to Buyer upon request.

**INTELLECTUAL PROPERTY**

(a) Seller warrants that Work performed or delivered under this Subcontract shall not infringe or otherwise violate the intellectual property rights of any third-party in the U.S. or any foreign country. Except to the extent that the Government assumes liability therefore, Seller agrees to defend, indemnify, and hold harmless Buyer (and Buyer’s affiliates and their respective directors, officers, employees, and agents) and Buyer’s Customer from and against any claims, damages, losses, costs, and expenses, including attorneys’ fees, arising out of any action by a third-party that is based upon a claim that Work performed or delivered under this Subcontract infringes or otherwise violates the intellectual property rights of any third-party.

(b) Seller’s obligation to defend, indemnify, and hold harmless Buyer (and Buyer’s affiliates and their respective directors, officers, employees, and agents) and Buyer’s Customer under paragraph (a) of this clause shall not apply to the extent FAR 52.227-1, “Authorization and Consent,” applies to Buyer’s Prime Contract for infringement of a U.S. patent, and Buyer and Buyer’s Customer are not subject to any actions for claims, damages, losses, costs, and expenses, including attorneys’ fees, by a third-party.

(c) In addition to the Government’s rights in data and inventions, Seller agrees that Buyer in the performance of its Prime Contract obligation shall have an unlimited, irrevocable, paid-up, royalty-free right to make, have made, sell, offer for sale, use, execute, reproduce, display, perform, distribute (internally or externally) copies of, and prepare derivative works based upon, and authorize others to do any, some, or all of the foregoing, any and all inventions, discoveries, improvements, mask works, and patents as well as any and all data, copyrights, reports, and works of authorship conceived, developed, generated, or delivered in performance of this Subcontract.

(d) All reports, memoranda, or other materials in written form, including machine readable form, prepared by Seller pursuant to this Subcontract and furnished to Buyer by Seller hereunder shall become the sole property of Buyer.

**MAINTENANCE OF RECORDS (Applies if this Subcontract is a time-and-material or labor-hour type contract.)**

(a) Seller shall maintain complete and accurate records in accordance with generally accepted accounting principles to substantiate Seller’s charges hereunder. Such records shall include, but not be limited to, applicable time sheets, job cards, phone bills, travel receipts, and job summaries. Seller shall retain such records for four (4) years from final payment under this Subcontract, or as agreed upon by the Parties.

(b) Buyer shall have access to such records, and any other records Seller is required to maintain under this Subcontract, for the purpose of audit during normal business hours, upon reasonable notice, for so long as such records are required to be retained.

**PACKAGING AND SHIPMENT**

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

- (a) Unless otherwise specified, all Work shall be packed in accordance with the best available commercial practices and in compliance with applicable federal, state, and local transportation regulations. Seller is solely liable for packaging design. Seller shall reimburse Buyer for any expense incurred by Buyer as a result of improper preservation, packaging, packing, or marking. Unless otherwise specified, prices include all charges for packing, shipping, hauling, storage, and transportation to the point of delivery.
- (b) Seller shall ship all Work to the destination specified by Buyer in this Subcontract. Buyer reserves the right to specify the mode of shipment.
- (c) A complete packing list shall be enclosed with all shipments. All shipping documents, shipping labels, packing sheets and lists, and Bills of Lading, as well as any interior and exterior containers, shall show full and complete information, where applicable, as to the names and addresses of consignor and consignee, Subcontract number, Subcontract line item number, dates of shipment, serial number of the item being shipped, and quantity.
- (d) Unless otherwise specified, if any transportation charges paid by Seller are subject to reimbursement, Seller shall show such charges on its invoice as a separate line item with the freight bill receipt attached accordingly.

**PAYMENTS, PRICES, AND TAXES**

- (a) All payments are contingent on acceptance of Work by Buyer and Buyer's Customer.
- (b) Invoices shall be submitted to Buyer within ten (10) days after shipment. Invoices shall be supported by documents in such form as Buyer may reasonably request and shall bear such certification as may be required by law, regulation, or this Subcontract. Draft invoices will not be accepted. Seller shall issue separate invoices for each shipment. All invoices shall include full and complete information, where applicable, as to the amount of material shipped, Subcontract number, Subcontract line item number, dates of shipment, serial number of the item being shipped, and quantity.
- (c) Each payment made shall be subject to reduction for amounts which are found by Buyer or Seller not to have been properly payable, including based on a Government audit, and shall also be subject to reduction for overpayments. Seller shall promptly notify Buyer of any such overpayments and remit the amount of the overpayment, except as otherwise directed by Buyer.
- (d) Buyer shall have a right to recoup or setoff, as the case may be, against payments due or at issue under this Subcontract or any other agreement between the Parties.
- (e) Payment shall be deemed to have been made as of the date of mailing of Buyer's payment or electronic funds transfer.
- (f) Unless otherwise specified, prices/estimated costs include all federal, state, and local taxes, duties, tariffs, and similar fees imposed by any government, all of which shall be listed separately on the invoice. Prices shall not include taxes, impositions, charges, and exactions for which Buyer has furnished an exemption certificate.
- (g) No adjustments to the prices, payments, or value of Work shall be made based on the fluctuation in currencies or rates of exchange, unless the Parties agree otherwise.
- (h) Seller warrants that the price of all Work set forth herein does not exceed that price which is charged by Seller to any other customer purchasing similar Work of like quantity, quality, and circumstance.

**PRECEDENCE**

Any inconsistencies in this Subcontract shall be resolved in accordance with the following descending order of precedence: (1) face of this Subcontract; (2) any non-disclosure agreement between the Parties; (3) this document, including general provisions; (4) any document including additional flowdown provisions incorporated and made a part of this Subcontract; and (5) other documents incorporated and made a part of this Subcontract, with such precedence as indicated on the face of this Subcontract.

**PRIORITY RATING**

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

If so identified, this Subcontract is a “rated order” certified for national defense use, and Seller shall follow all the requirements of the Defense Priorities and Allocation System Regulation (15 C.F.R. Part 700) as amended.

**PROHIBITED SOFTWARE**

- (a) This clause only applies to Work that includes the delivery of software (including software residing on hardware).
- (b) As used herein, “Prohibited License” means the General Public License (“GPL”), Lesser/Library GPL, Artistic License (e.g., PERL), Affero GPL, Apache license, Berkeley Software Distribution (“BSD”) license, MIT license, Mozilla Public License, Netscape Public License, Sun Community Source License, Sun Industry Standards License, or variations thereof including, without limitation, licenses referred to as “Free Software License,” “Open Source License,” “Public License,” or “GPL Compatible License.”
- (c) As used herein, “Prohibited Software” means software that incorporates or embeds in, or uses in connection with, as part of, bundled with, or alongside any: (1) open source, publicly available, or “free” software, library, or documentation; (2) software that is licensed under a Prohibited License; or (3) software provided under a license that (A) subjects the delivered software to any Prohibited License, (B) requires the delivered software to be licensed for the purpose of making derivative works or be redistributable at no charge, or (C) obligates Buyer/Seller to sell, loan, license, sublicense, distribute, disclose, or otherwise make available or accessible to any third-party (i) the delivered software, or any portion thereof, in object code and/or source code formats, or (ii) any products incorporating the delivered software, or any portion thereof, in object code and/or source code formats.
- (d) Seller shall disclose to Buyer in writing any Prohibited Software that will be used or delivered in connection with this Subcontract, and shall obtain Buyer’s prior written consent before using or delivering such Prohibited Software in connection with this Subcontract. Buyer may withhold such consent in its sole discretion.
- (e) Seller agrees to defend, indemnify, and hold harmless Buyer (and Buyer’s affiliates and their respective directors, officers, employees, and agents), Buyer’s Customer, and Buyer’s suppliers from and against any claims, damages, losses, costs, and expenses, including attorneys’ fees, relating to use in connection with this Subcontract of, or the delivery of, Prohibited Software.

**QUALITY CONTROL**

- (a) Seller shall provide and maintain a quality control system to an industry recognized quality standard and in compliance with any other specific quality requirements identified in this Subcontract.
- (b) Records of all quality control inspection work by Seller shall be kept complete and made available to Buyer and Buyer’s Customer.

**RELEASE OF INFORMATION**

Except as required by law, no public release of any information, or confirmation or denial of same, with respect to this Subcontract or the subject matter hereof, will be made by Seller without Buyer’s prior written consent. Seller shall not use “Ball Aerospace & Technologies Corp.,” “Ball Corporation,” or any other trademark or logo owned by Buyer (or Buyer’s affiliates), in whatever shape or form, without Buyer’s prior written consent.

**RETENTION OF RECORDS**

Unless a longer period is specified in this Subcontract or by law or regulation, Seller shall retain all records related to this Subcontract for four (4) years from the date of final payment under this Subcontract, or as agreed upon by the Parties. Records related to this Subcontract include, but are not limited to, financial, proposal, procurement, specifications, production, inspection, test, quality, shipping and export, and certification records. At no additional cost and upon request, Seller shall timely provide access to such records to Buyer and/or Buyer’s Customer.

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**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

**SEVERABILITY**

The terms and conditions of this Subcontract are severable, and should any term or provision of this Subcontract be declared invalid or become inoperative for any reason such invalidity or failure shall not affect the validity of any other term or provision of this Subcontract.

**SURVIVABILITY**

(a) If this Subcontract expires, is completed, or is terminated, Seller shall not be relieved of those obligations contained in the following clauses:

- Applicable Laws
- Counterfeit Work
- Electronic Contracting
- Export Control
- Furnished Property
- Independent Contractor Relationship and Seller Personnel
- Information of Buyer
- Insurance
- Intellectual Property
- Maintenance of Records
- Prohibited Software
- Release of Information
- Retention of Records
- Warranty

(b) Seller also shall not be relieved of those obligations contained in those Government flowdown provisions that by their nature should survive.

**TERMINATION FOR DEFAULT**

(a) Buyer, by written notice to Seller, may terminate the whole or any part of this Subcontract if Seller: (1) fails to comply with any of the terms of this Subcontract, including the delivery schedule; (2) fails to make progress so as to endanger performance of this Subcontract; (3) fails to provide adequate assurance of future performance; (4) files or has filed against it a petition in bankruptcy; or (5) becomes insolvent or suffers a material adverse change in financial condition.

(b) Seller shall have ten (10) days (or such longer period as Buyer may authorize in writing) to cure any such failure after receipt of notice from Buyer. Seller, however, shall not have the opportunity to cure default relating to delivery schedule delays (i.e., any failure to meet the delivery schedule), bankruptcy, or an adverse change in financial condition.

(c) If this Subcontract is terminated in whole or in part as provided in this clause, Buyer may procure, upon such terms and in such manner as Buyer may deem appropriate, work similar to that terminated, and Seller shall be liable to Buyer for any incidental and also for direct damages (i.e., excess or re-procurement costs and consequential damages) incurred for such similar work.

(d) If this Subcontract is terminated in whole or in part as provided in this clause, Seller shall be compensated only for Work actually delivered and accepted. Buyer, however, may withhold from amounts otherwise due Seller such sum, as Buyer determines to be necessary, to protect Buyer against loss because of outstanding liens or claims of former lien holders. Buyer also may require Seller to deliver to Buyer any supplies and materials, manufacturing materials, and manufacturing drawings that Seller has produced or acquired for the terminated portion of this Subcontract. Buyer and Seller shall agree on the amount of payment for these other deliverables.

(e) If, after notice of termination of this Subcontract as provided in this clause, it is determined for any reason that Seller was not in default or that the default was excusable, the rights and obligations of the Parties shall be the same as if the notice of termination had been issued pursuant to the "Termination for Convenience" clause of this Subcontract.

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**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

(f) The rights and remedies of Buyer provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or in equity or under this Subcontract including, without limitation, cancellation of this Subcontract.

(g) Seller shall continue all Work not terminated or cancelled.

**TIMELY PERFORMANCE**

(a) Seller's timely performance is a critical element of this Subcontract.

(b) Unless advance shipment has been authorized in writing by Buyer, Buyer may store at Seller's expense, or return, with shipping charges paid by Seller, all Work received in advance of the scheduled delivery date.

(c) If Seller becomes aware of difficulty in performing Work, including meeting the delivery schedule, Seller shall notify Buyer within five (5) days of any such difficulty, in writing, giving pertinent details. This notification shall not change any delivery schedule.

(d) In the event of a termination pursuant to the "Termination for Convenience" clause of this Subcontract or a Buyer-directed change pursuant to the "Changes" clause of this Subcontract, no claim shall be allowed for any manufacture or procurement in advance of Seller's normal flow time unless Buyer has provided prior written consent.

**TITLE AND RISK OF LOSS**

Unless otherwise specified in this Subcontract, where applicable, title to any Work covered by this Subcontract shall pass to Buyer upon Buyer's final acceptance of such Work, regardless of when or where Buyer takes physical possession. Risk of loss or damage to Work shall remain with Seller until: (a) delivery of Work to an authorized carrier, if delivery is F.O.B. Origin; or (b) final acceptance by Buyer or receipt of Work by Buyer at the destination specified in this Subcontract, whichever is later, if transportation is F.O.B. Destination, regardless of the point of inspection. Notwithstanding the above, the risk of loss or damage to Work that fails to conform, so as to give rise to Buyer's right of rejection, shall remain with Seller until cure and final acceptance.

**WAIVER, REVIEWS, APPROVALS, AND REMEDIES**

(a) Failure by either Party to enforce any of the provisions of this Subcontract or applicable laws shall not constitute a waiver of the requirements of such provisions or laws, or a waiver of the right of a Party thereafter to enforce such provisions or laws.

(b) Buyer's review or approval of any Work hereunder, or of any designs, drawings, specifications, or documents prepared hereunder, shall not relieve Seller of any of its obligations under this Subcontract, nor excuse or constitute a waiver of any defects or nonconformities in any Work furnished under this Subcontract, nor change, modify, or otherwise affect any of the provisions of this Subcontract including, but not limited to, the prices and delivery schedules contained herein.

(c) The rights and remedies of the Parties set forth in this Subcontract are cumulative and in addition to any other rights or remedies that they may have at law or in equity.

**WARRANTY**

(a) Seller warrants that all Work furnished pursuant to this Subcontract shall strictly conform to applicable specifications, drawings, samples, descriptions, and other requirements of this Subcontract and be free from defects in design, material, and workmanship. This warranty shall begin upon final acceptance and extend for a period of one (1) year.

(b) If any nonconforming Work is identified within the warranty period, Seller, at Buyer's option, shall promptly repair, replace, or reperform such Work. Transportation of replacement Work, return of nonconforming Work, and reperformance of Work shall be at Seller's expense. If repair, replacement, or reperformance of Work is not timely, Buyer may elect to return, reperform, repair, replace, or reprocure the non-conforming Work at Seller's expense. All warranties run to Buyer and Buyer's Customer.

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**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

(c) No inspection, test, or approval of any kind, including approval of designs, shall affect Seller's obligation under this clause. Repaired, replaced, or reperfomed Work shall be subject to the provisions of this clause to the same extent as the original Work, except that the warranty shall run from the last delivery date.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

**SECTION II – FAR FLOWDOWN PROVISIONS**

**INCORPORATION OF FAR CLAUSES**

(a) This Subcontract is entered into by the Parties in support of a Government contract. The FAR clauses referenced below are incorporated herein by reference, with the same force and effect as if they were given in full text, and are applicable, including any notes following the clause citation related to contract type, to this Subcontract. If the date or substance of any of the clauses listed below is different from the date or substance of the clause actually incorporated in the Prime Contract, the date or substance of the clause incorporated in the Prime Contract shall apply instead. If any of the following FAR clauses do not apply to this Subcontract, such clauses are considered to be self-deleting.

(b) The Contract Disputes Act shall have no application to this Subcontract. Any reference to a “Disputes” clause in the FAR clauses referenced below shall mean the “Disputes” clause of this Subcontract.

(c) As used in the FAR clauses referenced below, unless stated otherwise: (1) “Commercial Item” means a commercial item as defined in FAR 2.101; (2) “Contract” means this Subcontract; (3) “Contracting Officer” means the Government Contracting Officer for the Prime Contract under which this Subcontract is entered; and (4) “Contractor” and “Offeror” mean Seller.

(d) The following notes apply to the clauses incorporated by reference below only when specified in the parenthetical phrase following the clause title and date: (1) Substitute “Buyer” for “Government” or “United States” throughout this clause; (2) Substitute “Buyer’s SMR” for “Contracting Officer,” “Administrative Contracting Officer,” and “ACO” throughout this clause; (3) Insert “and Buyer” after “Government” throughout this clause; (4) Insert “or Buyer” after “Government” throughout this clause; (5) Communication/notification required under this clause from/to Seller to/from the Contracting Officer shall be through Buyer; (6) Insert “and Buyer” after “Contracting Officer” throughout this clause; and (7) Insert “or Buyer’s SMR” after “Contracting Officer” throughout this clause.

**AMENDMENTS REQUIRED BY PRIME CONTRACT**

Seller agrees that upon Buyer’s request Seller shall negotiate in good faith with Buyer relative to amendments to this Subcontract to incorporate additional provisions herein or to change provisions hereof, as Buyer may reasonably deem necessary in order to comply with the provisions of the applicable Prime Contract or with the provisions of amendments to the Prime Contract. If any such amendment to this Subcontract causes an increase or decrease in the cost of, or the time required for, performance of any part of Work under this Subcontract, an equitable adjustment shall be made pursuant to the “Changes” clause of this Subcontract.

**PRESERVATION OF THE GOVERNMENT’S RIGHTS**

If Buyer furnishes designs, drawings, special tooling, equipment, engineering data, or other technical or proprietary information (“Furnished Items”) which the Government owns or has the right to authorize the use of, nothing herein shall be construed to mean that Buyer, acting on its own behalf, may modify or limit any rights the Government may have to authorize Seller’s use of such Furnished Items in support of other Government prime contracts.

**PROVISIONS OF THE FAR INCORPORATED BY REFERENCE**

The following FAR clauses apply to this Subcontract:

- 52.203-3 GRATUITIES (APR 1984) (Note 1 applies, except the first time “Government” appears in (a)(1) where Note 4 applies.)
- 52.203-5 COVENANT AGAINST CONTINGENT FEES (MAY 2014) (Note 1 applies.)
- 52.203-6 RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT (SEP 2006) (Applies if this Subcontract exceeds \$150,000.)

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

- 52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (MAY 2014) (Notes 1 and 2 apply. In addition, Seller shall indemnify Buyer for any and all losses suffered by Buyer due to violations of the Office of Federal Procurement Policy Act (41 U.S.C. 423) by Seller or its Subcontractors.)
- 52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (MAY 2014 (Notes 1 and 2 apply.)
- 52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (OCT 2010) (Applies if this Subcontract exceeds \$150,000. Notes 1 and 2 apply, except in paragraph (a).)
- 52.203-13 CONTRACTOR CODE OF BUSINESS ETHICS AND CONDUCT (OCT 2015) (Applies if this Subcontract exceeds \$5,500,000 and the period of performance of this Subcontract is more than 120 days. Disclosures made under this clause shall be made directly to the Government entities identified in this clause.)
- 52.203-14 DISPLAY OF HOTLINE POSTER(S) (OCT 2015) (Applies if this Subcontract exceeds \$5,500,000, except does not apply if this Subcontract is for the acquisition of a Commercial Item. Contact Buyer's SMR for the location where posters may be obtained if not indicated elsewhere in this Subcontract.)
- 52.203-16 PREVENTING PERSONAL CONFLICTS OF INTEREST (DEC 2011) (Applies if this Subcontract exceeds \$150,000 and if Work includes an "acquisition function closely associated with inherently governmental functions" as anticipated by this clause. Note 5 applies.)
- 52.204-2 SECURITY REQUIREMENTS (AUG 1996) (Applies if Work requires access to classified information. Note 4 applies)
- 52.204-9 PERSONAL IDENTITY VERIFICATION OF CONTRACTOR PERSONNEL (JAN 2011) (Applies where Seller will have physical access to a Government-controlled facility or access to a Government information system. Note 2 applies. Note 1 applies, except the first time "Government" appears in paragraph (b). Replace "issuing agency" with "Buyer" in paragraph (b).)
- 52.204-10 REPORTING EXECUTIVE COMPENSATION AND FIRST-TIER SUBCONTRACT AWARDS (OCT 2016) (Subparagraphs (d)(2), (d)(3), and (g)(2) and paragraphs (e), (f), and (h) do not apply. Subparagraph (g)(1) only applies to the extent it establishes a dollar threshold. If Seller meets the thresholds specified in subparagraphs (d)(1) and (g)(1), Seller shall report required executive compensation in a form provided by Buyer. All information posted by Buyer at <http://www.fsr.gov>, as anticipated by this clause, will be available to the general public.)
- 52.204-21 BASIC SAFEGUARDING OF COVERED CONTRACTOR INFORMATION SYSTEMS (JUN 2016) (Note 4 applies to the first two times "Government" appears in the definition of "Federal contract information" in paragraph (a).)
- 52.204-23 PROHIBITION ON CONTRACTING FOR HARDWARE, SOFTWARE, AND SERVICES DEVELOPED OR PROVIDED BY KASPERSKY LAB AND OTHER COVERED ENTITIES (JUL 2018) (Note 5 applies.)
- 52.208-8 REQUIRED SOURCES FOR HELIUM AND HELIUM USAGE DATA (APR 2014) (Applies if Work involves a major helium requirement. Note 2 applies.)
- 52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (OCT 2015) (Applies if this Subcontract exceeds \$30,000. Copies of notices provided by Seller to the Contracting Officer shall be provided to Buyer.)
- 52.211-5 MATERIAL REQUIREMENTS (AUG 2000) (Note 2 applies. If this Subcontract is for the acquisition of a Commercial Item, replace 52.211-5 in its entirety with the following language, "Work to be delivered hereunder shall consist of new materials, not used, reconditioned, or remanufactured, or of such age as to impair usefulness or safety.")

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

- 52.215-2 AUDIT AND RECORDS - NEGOTIATION (OCT 2010) (Applies if this Subcontract exceeds \$150,000 and: (1) this Subcontract is a cost-reimbursement, incentive, time-and-material, or labor-hour type contract; (2) Seller is required to furnish cost or pricing data; or (3) Seller is required to furnish cost, funding, or performance reports. Notes 4 and 6 apply.)
- 52.215-10 PRICE REDUCTION FOR CERTIFIED DEFECTIVE COST OR PRICING DATA (AUG 2011) (Applies if submission of certified cost or pricing data is required. Notes 1 and 2. Rights and obligations under this clause shall survive completion of Work and final payment under this Subcontract.)
- 52.215-11 PRICE REDUCTION FOR DEFECTIVE CERTIFIED COST OR PRICING DATA - MODIFICATIONS (AUG 2011) (Applies if submission of certified cost or pricing data is required. Notes 1 and 2 apply. Rights and obligations under this clause shall survive completion of Work and final payment under this Subcontract.)
- 52.215-12 SUBCONTRACTOR CERTIFIED COST OR PRICING DATA (OCT 2010) (Applies if this Subcontract exceeds \$2,000,000.)
- 52.215-13 SUBCONTRACTOR CERTIFIED COST OR PRICING DATA - MODIFICATIONS (OCT 2010) (Applies if this Subcontract exceeds \$2,000,000.)
- 52.215-14 INTEGRITY OF UNIT PRICES (OCT 2010) (Does not apply if this Subcontract is for \$150,000 or less, or if this Subcontract is for the acquisition of a Commercial Item. Delete paragraph (b).)
- 52.215-15 PENSION ADJUSTMENTS AND ASSET REVERSIONS (OCT 2010) (Applies if this Subcontract meets the applicability requirements of FAR 15.408(g). Note 5 applies.)
- 52.215-16 FACILITIES CAPITAL COST OF MONEY (JUN 2003) (Applies if this Subcontract is subject to the Cost Principles at FAR Subpart 31.2 and Seller proposed facilities capital cost of money in its offer.)
- 52.215-17 WAIVER OF FACILITIES CAPITAL COST OF MONEY (OCT 1997) (Applies if this Subcontract is subject to the Cost Principles at FAR Subpart 31.2 and Seller did not propose facilities capital cost of money in its offer.)
- 52.215-18 REVERSION OR ADJUSTMENT OF PLANS FOR POSTRETIREMENT BENEFITS (PRB) OTHER THAN PENSIONS (JUL 2005) (Applies if this Subcontract meets the applicability requirements of FAR 15.408(j). Note 5 applies.)
- 52.215-19 NOTIFICATION OF OWNERSHIP CHANGES (OCT 1997) (Applies if this Subcontract meets the applicability requirements of FAR 15.408(k). Note 5 applies.)
- 52.215-20 REQUIREMENTS FOR CERTIFIED COST OR PRICING DATA AND DATA OTHER THAN CERTIFIED COST OR PRICING DATA (OCT 2010) (Note 2 applies.)
- 52.215-21 REQUIREMENTS FOR CERTIFIED COST OR PRICING DATA AND DATA OTHER THAN CERTIFIED COST OR PRICING DATA - MODIFICATIONS (OCT 2010) (Note 2 applies.)
- 52.215-23 LIMITATIONS ON PASS-THROUGH CHARGES (OCT 2009) (Applies if this Subcontract exceeds \$150,000, except if the Prime Contract is with the Department of Defense then applies if this Subcontract exceeds \$750,000, except those identified in FAR 15.408(n)(2)(i)(B). Notes 4 and 7 apply.)
- 52.216-7 ALLOWABLE COST AND PAYMENT (JUN 2013) (Applies if this Subcontract is a cost-reimbursement type contract. If this Subcontract is a time-and-material or labor-hour type contract, applies with respect to the determination of allowable cost of material (see 52.232-7). Note 1 applies, except in paragraphs (a)(3) and (b)(1)(ii)(F) where note 3 applies. Note 2 applies, except in paragraph (g) where note 7 applies. The blank in paragraph (a)(3) is completed with “the 30th” unless otherwise specified in this Subcontract. Paragraphs (a)(2), (b)(4), and (d)(4) are deleted. In paragraph (h), “six years” is changed to “five years.” The references to Government entities in paragraph (d) are unchanged. Buyer may withhold from amounts

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

otherwise due Seller such sum, as Buyer determines to be necessary, to protect Buyer against situations where Seller has submitted costs to Buyer for reimbursement that are not reimbursable in accordance with the terms of this Subcontract.)

- 52.216-8 FIXED FEE (JUN 2011) (Applies if this Subcontract includes a fixed fee. Notes 1 and 2 apply.)
- 52.216-10 INCENTIVE FEE (JUN 2011) (Applies if this Subcontract includes an incentive fee. Notes 1 and 2 apply, except in paragraphs (e)(4)(v) and (e)(4)(vi) where “Government” is unchanged. Paragraph (e)(4)(iv) is deleted. The amounts in paragraph (e) are set forth on the face of this Subcontract.)
- 52.216-11 COST CONTRACT - NO FEE (APR 1984) (Applies if this Subcontract is placed on a cost-reimbursement no-fee basis. Notes 1 and 2 apply.)
- 52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (NOV 2016)
- 52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2017) (Applies if this Subcontract exceeds \$700,000, except does not apply if Seller is a small business concern. Note 2 is applicable to paragraph (c) only. Seller’s subcontracting plan is incorporated herein by reference.)
- 52.222-1 NOTICE TO THE GOVERNMENT OF LABOR DISPUTES (FEB 1997) (Note 2 applies.)
- 52.222-2 PAYMENT FOR OVERTIME PREMIUMS (JUL 1990) (Applies if this Subcontract is a cost-reimbursement type contract. Insert “Zero” in the blank. Notes 2 and 3 apply.)
- 52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT - OVERTIME COMPENSATION (MAY 2014) (Applies if this Subcontract may require or involve the employment of laborers and/or mechanics. Buyer may withhold or recover from Seller sums the Contracting Officer withholds or recovers from Buyer because of liabilities of Seller or its Subcontractors.)
- 52.222-19 CHILD LABOR - COOPERATION WITH AUTHORITIES AND REMEDIES (OCT 2016) (Notes 2 and 3 apply.)
- 52.222-20 CONTRACTS FOR MATERIALS, SUPPLIES, ARICLES, AND EQUIPMENT EXCEEDING \$15,000 (MAY 2015)
- 52.222-21 PROHIBITION OF SEGREGATED FACILITIES (APR 2015)
- 52.222-26 EQUAL OPPORTUNITY (SEP 2016)
- 52.222-35 EQUAL OPPORTUNITY FOR VETERANS (OCT 2015) (Applies if this Subcontract is for \$150,000 or more.)
- 52.222-36 EQUAL OPPORTUNITY FOR WORKERS WITH DISABILITIES (JUL 2014) (Applies if this Subcontract exceeds \$15,000.)
- 52.222-37 EMPLOYMENT REPORTS ON VETERANS (FEB 2016) (Applies if this Subcontract is for \$150,000 or more.)
- 52.222.38 COMPLIANCE WITH VETERANS’ EMPLOYMENT REPORTING REQUIREMENTS (FEB 2016)
- 52.222-40 NOTIFICATION OF EMPLOYEE RIGHTS UNDER THE NATIONAL LABOR RELATIONS ACT (DEC 2010) (Applies if this Subcontract exceeds \$10,000.)
- 52.222-41 SERVICE CONTRACT LABOR STANDARDS (MAY 2014) (Applies if this Subcontract is subject to the Service Contract Labor Standards statute.)
- 52.222-50 COMBATING TRAFFICKING IN PERSONS (MAR 2015) (Note 2 applies. In paragraph (e), Note 3 applies.)

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

- 52.222-54 EMPLOYMENT ELIGIBILITY VERIFICATION (OCT 2015) (Applies if this Subcontract exceeds \$3,500. In paragraph (a), Note 1 applies.)
- 52.223-6 DRUG-FREE WORKPLACE (MAY 2001) (Notes 1 and 2 apply.)
- 52.223-18 CONTRACTOR POLICY TO BAN TEXT MESSAGING WHILE DRIVING (AUG 2011) (Applies if this Subcontract exceeds \$3,500.)
- 52.225-1 BUY AMERICAN - SUPPLIES (MAY 2014) (Applies if Work contains other than domestic components. Note 2 applies to the first time “Contracting Officer” is mentioned in paragraph (c).)
- 52.225-5 TRADE AGREEMENTS (OCT 2016) (Applies if Work contains other than U.S. made or designated country end products as specified in this clause.)
- 52.225-8 DUTY FREE ENTRY (OCT 2010) (Applies if Work will be imported into the Customs Territory of the U.S. Change “10 days” to “25 days” in paragraph (c)(2). Note 2 applies.)
- 52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JUN 2008)
- 52.225-20 PROHIBITION ON CONDUCTING RESTRICTED BUSINESS OPERATIONS IN SUDAN - CERTIFICATION (AUG 2009)
- 52.225-25 PROHIBITION ON CONTRACTING WITH ENTITIES ENGAGING IN CERTAIN ACTIVITIES OR TRANSACTIONS RELATING TO IRAN - REPRESENTATION AND CERTIFICATIONS (OCT 2015)
- 52.227-1 AUTHORIZATION AND CONSENT (DEC 2007) (Applies if the Prime Contract contains this clause.)
- 52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (DEC 2007) (Notes 2 and 4 apply.)
- 52.227-6 ROYALTY INFORMATION (APR 1984) (Note 2 applies.)
- 52.227-9 REFUND OF ROYALTIES (APR 1984) (Applies when the amount of royalties reported during negotiation of this Subcontract exceeds \$250. Note 1 applies, except for the first two times “Government” appears in paragraph (d). Note 2 applies.)
- 52.227-10 FILING OF PATENT APPLICATIONS - CLASSIFIED SUBJECT MATTER (DEC 2007) (Applies if Work or any patent application may cover classified subject matter. Notes 5 and 6 apply.)
- 52.227-11 PATENT RIGHTS - OWNERSHIP BY THE CONTRACTOR (MAY 2014) (Applies if this Subcontract includes, at any tier, experimental, developmental, or research Work. Reports required by this clause shall be filed with the agency identified in this Subcontract. If no agency is identified, contact Buyer’s SMR.)
- 52.227-14 RIGHTS IN DATA - GENERAL (MAY 2014) (Note 5 applies.)
- 52.227-16 ADDITIONAL DATA REQUIREMENTS (JUN 1987) (Note 2 applies.)
- 52.227-19 COMMERCIAL COMPUTER SOFTWARE LICENSE (DEC 2007) (Applies if existing computer software is to be delivered under this Subcontract.)
- 52.228-5 INSURANCE - WORK ON A GOVERNMENT INSTALLATION (JAN 1997) (Applies if this Subcontract involves Work on a Government installation. Note 2 applies. Note 4 applies to paragraph (b).)

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

- 52.229-10 STATE OF NEW MEXICO GROSS RECEIPTS AND COMPENSATING TAX (APR 2003) (Note 4 applies.)
- 52.230-2 COST ACCOUNTING STANDARDS (OCT 2015) (Applies if this Subcontract exceeds \$750,000. “United States” means “United States or Buyer.” Delete paragraph (b).)
- 52.230-3 DISCLOSURE AND CONSISTENCY OF COST ACCOUNTING PRACTICES (OCT 2015) (Applies if this Subcontract exceeds \$750,000. “United States” means “United States or Buyer.” Delete paragraph (b).)
- 52.230-6 ADMINISTRATION OF COST ACCOUNTING STANDARDS (JUN 2010) (Applies if FAR 52.230-2 or FAR 52.230-3 applies.)
- 52.232-7 PAYMENTS UNDER TIME-AND-MATERIALS AND LABOR-HOUR CONTRACTS (AUG 2012) (Applies if this Subcontract is a time-and-material or labor-hour type contract. Notes 1 and 2 apply. The third sentence of paragraph (a)(8) is deleted. In paragraph (f), “one year” is changed to “six months” and, in paragraph (g)(2), “6 years” is changed to “5 years.” Paragraphs (c) and (i) are deleted.)
- 52.232-20 LIMITATION OF COST (APR 1984) (Applies if this Subcontract is a cost-reimbursement type contract, and when this Subcontract becomes fully funded. Notes 1 and 2 apply.)
- 52.232-22 LIMITATION OF FUNDS (APR 1984) (Applies if this Subcontract is incrementally funded. Notes 1 and 2 apply.)
- 52.233-3 PROTEST AFTER AWARD (AUG 1996) (In the event Buyer’s Customer has directed Buyer to stop performance of work under the Prime Contract, Buyer may, by written order to Seller, direct Seller to stop performance of Work called for by this Subcontract. In paragraph (a)(2), insert the words “Termination for” before the word “Default.” In paragraph (b)(2), “30 days” means “20 days.” Note 1 applies, except the first time “Government” appears in paragraph (f). In paragraph (f), add after “33.104(h)(1)” the following “and recovers those costs from Buyer.”)
- 52.234-1 INDUSTRIAL RESOURCES DEVELOPED TITLE III, DEFENSE PRODUCTION (SEP 2016) (Notes 1 and 2 apply.)
- 52.237-2 PROTECTION OF GOVERNMENT BUILDINGS, EQUIPMENT, AND VEGETATION (APR 1984) (Applies if Work is performed on a Government installation. Note 2 applies. Note 4 applies to the second time “Government” appears in this clause.)
- 52.242-13 BANKRUPTCY (JUL 1995) (Notes 1 and 2 apply.)
- 52.242-15 STOP-WORK ORDER (AUG 1989) (Notes 1 and 2 apply. In paragraph (a)(2), insert the words “Termination for” before the word “Default.” If this Subcontract is a cost-reimbursement type contract, use Alt. I, where the first sentence is deleted.)
- 52.243-1 CHANGES - FIXED PRICE (AUG 1987) (Applies if this Subcontract is a fixed-price type contract. Notes 1 and 2 apply.)
- 52.243-2 CHANGES – COST-REIMBURSEMENT (AUG 1987) (Applies if this Subcontract is a cost-reimbursement type contract. Notes 1 and 2 apply.)
- 52.243-3 CHANGES - TIME-AND-MATERIALS OR LABOR-HOURS (SEP 2000) (Applies if this Subcontract is a time-and-material or labor-hour type contract. Notes 1 and 2 apply, except in paragraph (a)(7) where Note 4 applies.)
- 52.243-6 CHANGE ORDER ACCOUNTING (APR 1984) (Note 2 applies.)
- 52.244-5 COMPETITION IN SUBCONTRACTING (DEC 1996)
- 52.244-6 SUBCONTRACTS FOR COMMERCIAL ITEMS (JAN 2017)

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

- 52.245-1 GOVERNMENT PROPERTY (JAN 2017) (ALT I) (APR 2012) (“Contracting Officer” means Buyer’s SMR except in paragraph (a) where it is unchanged, and in paragraphs (c), (f), (h)(4), (h)(5), (j)(7), and (j)(8) where it includes Buyer’s SMR. The term “Government” as used in paragraphs (d)(1), (d)(2), (f)(1)(vii), (g), (h)(4), and (i), and not as part of the phrases “Government property” or “Government-furnished property,” includes “Buyer.” The following is added as paragraph (n), “Seller shall provide to Buyer immediate notice if the Government or other customer (i) revokes its assumption of loss under any direct contracts with Seller, or (ii) makes a determination that Seller’s property management practices are inadequate and/or present an undue risk or that Seller has failed to take corrective action when required.”)
- 52.246-2 INSPECTION OF SUPPLIES - FIXED-PRICE (AUG 1996) (Applies if this Subcontract is a fixed-price type contract. Note 2 applies. Note 3 applies, except in paragraph (b) the second time “Government” appears and in paragraphs (f), (h), (j), and (l) where Note 1 applies.)
- 52.246-3 INSPECTION OF SUPPLIES - COST-REIMBURSEMENT (MAY 2001) (Applies if this Subcontract is a cost-reimbursement type contract. Note 1 applies, except in paragraphs (b), (c), and (d) where Note 3 applies, and in paragraph (k) where the term is unchanged. In paragraph (e), change “60 days” to “120 days” and, in paragraph (f), change “6 months” to “12 months.”)
- 52.246-4 INSPECTION OF SERVICES - FIXED-PRICE (AUG 1996) (Applies if this Subcontract is a fixed-price type contract. Note 3 applies, except in paragraphs (e) and (f) where Note 1 applies.)
- 52.246-5 INSPECTION OF SERVICES – COST-REIMBURSEMENT (APR 1984) (Applies if this Subcontract is a cost-reimbursement type contract. Note 3 applies, except in paragraphs (d) and (e) where Note 1 applies.)
- 52.246-6 INSPECTION - TIME-AND-MATERIAL AND LABOR-HOUR (MAY 2001) (Applies if this Subcontract is a time-and-material or labor-hour type contract. In paragraphs (b), (c), and (d), Note 3 applies, and in paragraph (k) the term is unchanged. In paragraphs (e), (f), (g), and (h), Note 1 applies.)
- 52.247-63 PREFERENCE FOR U.S.-FLAG AIR CARRIERS (JUN 2003) (Applies if this Subcontract involves international air transportation.)
- 52.247-64 PREFERENCE FOR PRIVATELY OWNED U.S.-FLAG COMMERCIAL VESSELS (FEB 2006)
- 52.247-67 SUBMISSION OF TRANSPORTATION DOCUMENTS FOR AUDIT (FEB 2006) (Applies if this Subcontract is a cost-reimbursement type contract and when Seller is a first-tier supplier and transportation will be reimbursed as a direct charge to this Subcontract. Note 5 applies. Delete subparagraph (a)(2).)
- 52.248-1 VALUE ENGINEERING (OCT 2010) (Applies if this Subcontract exceeds \$150,000. Note 1 applies, except in paragraph (c)(5), where Note 3 applies, and where “Government” precedes “cost” throughout. Note 2 applies.)
- 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED PRICE) (APR 2012) (Applies if this Subcontract is a fixed-price type contract. Notes 1 and 2 apply (except as otherwise noted). Note 4 applies to paragraphs (b)(6) and (b)(8), the second time “Government” appears in paragraph (d), and paragraph (h). In paragraph (n), “Government” means “Buyer and the Government.” In paragraph (c), “120 days” is changed to “60 days” and “120-day” is changed to “60-day.” In paragraph (d), “15 days” is changed to “30 days” and “45 days” is changed to “60 days.” In paragraph (e), “1 year” is changed to “6 months” and “1-year” is changed to “6-month.” Paragraph (j) is deleted. In paragraph (l), “90 days” is changed to “45 days.” Settlements and payments under this clause may be subject to the approval of the Contracting Officer.)
- 52.249-6 TERMINATION [FOR CONVENIENCE] (COST-REIMBURSEMENT) (MAY 2004) (Applies if this Subcontract is a cost-reimbursement type contract. Notes 1 and 2 apply (except as otherwise noted). Note 4 applies to paragraphs (c)(6) and (c)(8) and the second time “Government” appears in paragraph (e). In paragraph (d), substitute “60 days” for “120 days” and “60-day” for “120-day.” In paragraph (e), “15 days” is changed to “30 days” and “45 days” is changed to “60 days.” In paragraph

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

(f), “1 year” is changed to “6 months” and “1-year” is changed to “6-month.” Paragraph (j) is deleted. Settlements and payments under this clause may be subject to the approval of the Contracting Officer. If this Subcontract is a time-and-material or labor-hour type contract, use Alt. IV, where in paragraph (l) “90 days” is changed to “45 days.”)

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

**SECTION III – CERTIFICATIONS AND REPRESENTATIONS**

Seller acknowledges that Buyer will rely upon Seller's certifications and representations contained in this document and in any written offer, proposal, or quote, or company profile submission, which results in award of a contract to Seller. By entering into such contract, Seller republishes the certifications and representations submitted with its written offer, including company profile information, and oral offers/quotations made at the request of Buyer, and Seller makes those certifications and representations set forth below. Seller shall immediately notify Buyer of any change of status regarding any certification or representation.

**1. FAR 52.203-11 – Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (Sep 2007)  
(Applicable if this Subcontract exceeds \$150,000)**

(a) Definitions. As used in this provision — “Lobbying contact” has the meaning provided at 2 U.S.C. 1602(8). The terms “agency,” “influencing or attempting to influence,” “officer or employee of an agency,” “person,” “reasonable compensation,” and “regularly employed” are defined in the FAR clause of this Subcontract entitled “Limitation on Payments to Influence Certain Federal Transactions” (52.203-12).

(b) Prohibition. The prohibition and exceptions contained in the FAR clause of this Subcontract entitled “Limitation on Payments to Influence Certain Federal Transactions” (52.203-12) are hereby incorporated by reference in this provision.

(c) Certification. Seller, by signing this Subcontract, hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the award of this Subcontract.

(d) Disclosure. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of Seller with respect to this Subcontract, Seller shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. Seller need not report regularly employed officers or employees of Seller to whom payments of reasonable compensation were made.

(e) Penalty. Submission of this certification and disclosure is a prerequisite for making or entering into this Subcontract imposed by 31 U.S.C. 1352. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure required to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

**2. FAR 52.209-5 – Certification Regarding Responsibility Matters (Oct 2015)**

(a)(1) Seller certifies, to the best of its knowledge and belief, that--(i) Seller and/or any of its Principals--(A) Are not presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency; (B) Have not, within a three-year period preceding this Subcontract, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property; (C) Are not presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision; and (D) Have not, within a three-year period preceding this Subcontract, been notified of any delinquent Federal taxes in an amount that exceeds \$3,500 for which the liability remains unsatisfied. (ii) Seller has not, within a three-year period preceding this Subcontract, had one or more contracts terminated for default by any Federal agency.

(2) Federal taxes are considered delinquent if both of the following criteria apply: (i) The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge to the liability, the liability is not finally determined until all judicial appeal rights have been exhausted. (ii) The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

(3) Examples. (i) The taxpayer has received a statutory notice of deficiency, under I.R.C. 6212, which entitles the taxpayer to seek Tax Court review of a proposed tax deficiency. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek Tax Court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights. (ii) The IRS has filed a notice of Federal tax lien with respect to an assessed tax liability, and the taxpayer has been issued a notice under I.R.C. 6320 entitling the taxpayer to request a hearing with the IRS Office of Appeals contesting the lien filing, and to further appeal to the Tax Court if the IRS determines to sustain the lien filing. In the course of the hearing, the taxpayer is entitled to contest the underlying tax liability because the taxpayer has had no prior opportunity to contest the liability. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek Tax Court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights. (iii) The taxpayer has entered into an installment agreement pursuant to I.R.C. 6159. The taxpayer is making timely payments and is in full compliance with the agreement terms. The taxpayer is not delinquent because the taxpayer is not currently required to make full payment. (iv) The taxpayer has filed for bankruptcy protection. The taxpayer is not delinquent because enforced collection action is stayed under 11 U.S.C. 362 (the Bankruptcy Code).

(4) Principal, for the purposes of this certification, means an officer, director, owner, partner, or a person having primary management or supervisory responsibilities within a business entity (e.g., general manager, plant manager, head of a division or business segment; and similar positions).

(b) Seller shall provide immediate written notice to Buyer if, at any time prior to contract award, Seller learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

(c) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that Seller knowingly rendered an erroneous certification, in addition to other remedies available, Buyer may terminate this Subcontract for default.

**3. FAR 52.222-18 – Certification Regarding Knowledge of Child Labor for Listed End Products (Feb 2001)**

(a) Definition. “Forced or indentured child labor” means all work or service: (1) Exacted from any person under the age of 18 under the menace of any penalty for its nonperformance and for which the worker does not offer himself voluntarily; or (2) Performed by any person under the age of 18 pursuant to a contract the enforcement of which can be accomplished by process or penalties.

(b) Listed end products. The following end product(s) being acquired under this Subcontract is (are) included in the List of Products Requiring Contractor Certification as to Forced or Indentured Child Labor, identified by their country of origin. There is a reasonable basis to believe that listed end products from the listed countries of origin may have been mined, produced, or manufactured by forced or indentured child labor.

Listed End Product

\_\_\_\_\_

Listed Countries of Origin

\_\_\_\_\_

(c) Certification. Seller certifies that:

(1) Seller will not supply any end product listed in paragraph (b) of this provision that was mined, produced, or manufactured in a corresponding country as listed for that end product; or

(2) Seller may supply an end product listed in paragraph (b) of this provision that was mined, produced, or manufactured in the corresponding country as listed for that product. The offeror certifies that it has made a good faith effort to determine whether forced or indentured child labor was used to mine, produce, or manufacture such end product. On the basis of those efforts, the offeror certifies that it is not aware of any such use of child labor.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

**4. FAR 52.222-22 – Previous Contracts and Compliance Reports (Feb 1999)**

Seller represents that if Seller has participated in a previous contract or subcontract subject to the Equal Opportunity clause (FAR 52.222-26): (a) Seller has filed all required compliance reports; and (b) representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

**5. FAR 52.222-25 – Affirmative Action Compliance (Apr 1984)**

Seller represents that: (a) Seller has developed and has on file at each establishment Affirmative Action compliance programs required by the rules and regulations of the Secretary of Labor (41 C.F.R. 60-1 and 60-2); or (b) in the event such a program does not presently exist, Seller will develop and place in operation such a written Affirmative Action compliance program within one-hundred twenty (120) days from the award of this Subcontract.

**6. FAR 52.222-38 – Compliance with Veterans’ Employment Reporting Requirements (Sep 2010)**

By executing this Subcontract Seller represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (i.e., if it has any contract containing FAR 52.222-37, Employment Reports on Veterans), it has submitted the most recent VETS-4212A report required by that clause.

**7. Conflict Minerals**

Seller, by signing this Subcontract, hereby represents that Seller does not source or use any “conflict minerals”, as defined in Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act, as well as California Senate Bill 861, from the Democratic Republic of the Congo or any adjoining countries (“DRC Countries”) for use in the manufacture of Seller’s products supplied to Buyer. “Conflict minerals” include: (a) columbite-tantalite, also known as coltan (the metal ore from which tantalum is extracted); (b) cassiterite (the metal ore from which tin is extracted); (c) gold; (d) wolframite (the metal ore from which tungsten is extracted); (e) the derivative(s) of (a)-(d); or (f) any other mineral or its derivative(s) determined by the U.S. Secretary of State to be financing conflict in the DRC Countries.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

**SECTION IV - SUPPLIER GUIDING PRINCIPLES**

**SUSTAINABILITY VISION**

By balancing economic, environmental, and social impacts in our decision making and activities, Ball Corporation (“Ball”) strives to create long-term, shared value for Ball and our stakeholders. This is our sustainability vision. Whether it is developing sustainable products, working to reduce our environmental footprint, respecting human rights, or investing in local communities where we operate facilities, we are committed to making Ball a more sustainable enterprise and maintaining sound business ethics.

**PURPOSE**

Our Supplier Guiding Principles set forth the business conduct standards to which Ball expects its suppliers to adhere. In keeping with our values, Ball prefers to do business with companies that share our belief in the importance of economic, social, and environmental sustainability.

**SCOPE**

Our Supplier Guiding Principles apply to all suppliers with whom Ball, its subsidiaries, including Ball Aerospace & Technologies Corp., and affiliates worldwide have a contractual relationship, including contractors and suppliers of goods and services.

As part of the implementation of our Supplier Guiding Principles, these principles will be incorporated into all new or renewed commercial agreements between suppliers and Ball, its subsidiaries, and affiliates worldwide.

Suppliers must be able to demonstrate compliance with Ball’s Supplier Guiding Principles at the request and satisfaction of Ball. When Ball becomes aware that a supplier is not in compliance with our Supplier Guiding Principles, the actions, or inaction, of the supplier will be reviewed, and appropriate corrective measures will be implemented.

**PRINCIPLES**

All suppliers must adhere to the following principles:

**1.) LAWS AND REGULATIONS**

Suppliers will comply with all applicable laws, rules, and regulations and requirements in managing their business and in providing goods and services to Ball.

**2.) EMPLOYMENT PRACTICES/HUMAN RIGHTS**

We expect our suppliers to provide an equitable and safe work environment and to adhere to, among others, the following principles:

- Suppliers shall not employ anyone under the legal working age, nor condone physical or other unlawful abuse or harassment in any of their businesses;
- Suppliers shall ensure that there is no forced labor, slavery, or human trafficking within their operations and their supply chain;
- Suppliers shall judge their employees upon their abilities and not discriminate on the basis of any condition or characteristic which is protected by applicable law or regulation;
- Suppliers shall respect each employee’s right to associate with any legally sanctioned organization; and
- Work hours, wages, and benefits shall be in compliance with all applicable laws.

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**BALL AEROSPACE & TECHNOLOGIES CORP.**

**TERMS AND CONDITIONS – GOVERNMENT  
GENERAL PROVISIONS AND FAR FLOWDOWNS**

**3.) ENVIRONMENT**

Ball's suppliers are expected to maintain compliance with all applicable environmental laws and regulations in their operations and to develop and implement plans to correct any non-compliant practices or conditions.

**4.) HEALTH AND SAFETY**

We expect our suppliers to provide a safe work environment in compliance with local, state, federal, and international laws and to implement policies and regulations in order to minimize accidents or injuries.

**5.) ANTITRUST**

Ball is committed to free competition in the marketplace. Conduct intended to limit competitive forces is inconsistent with that commitment and may violate antitrust laws. Suppliers shall not communicate with competitors regarding current or future prices, pricing policy, sales volumes or terms, production levels, or any other information that relates to the marketplace.

**6.) BRIBERY AND CORRUPTION**

Ball has a strict policy against bribery and corruption. Suppliers shall not make payments or provide entertainment and gifts or anything of value directly or indirectly to government officials or others so as to influence them in the performance or non-performance of their duties or induce them to use their influence or secure any improper advantage or to obtain or retain business for Ball.

**7.) DEMONSTRATION OF COMPLIANCE**

Suppliers will be expected to certify and demonstrate compliance with these Supplier Guiding Principles at Ball's request.

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