



# BALL CORPORATION

## 2018 GRI Content Index

This Global Reporting Initiative (GRI) Content Index supplements Ball Corporation's (Ball, we, us or our) sustainability reporting on [www.ball.com/sustainability](http://www.ball.com/sustainability). Our reporting was prepared in accordance with the GRI standards at the "Core" level. This index includes all "Core" indicators, as well as various additional indicators that were determined to be relevant to our business. It provides references to information provided on our online sustainability report, as well as other corporate disclosures such as our Annual Report, Proxy, Form 10-K, our publicly available CDP Climate Change response and additional information provided on our website at [www.ball.com](http://www.ball.com).

GRI 102 GENERAL DISCLOSURES 2016		RESPONSE   PAGE   LINK	EXTERNAL OMISSION	ASSURANCE	SDG LINK																																																															
<b>Organizational Profile</b>																																																																				
102-1	Name of the organization	<a href="http://www.ball.com/na/about-ball/overview/quick-facts">www.ball.com/na/about-ball/overview/quick-facts</a> ;																																																																		
102-2	Activities, brands, products, and services	<a href="http://www.ball.com/na/solutions">www.ball.com/na/solutions</a> ;																																																																		
102-3	Location of headquarters	<a href="http://www.ball.com/na/about-ball/overview/quick-facts">www.ball.com/na/about-ball/overview/quick-facts</a> ;																																																																		
102-4	Location of operations	<a href="http://www.ball.com/na/about-ball/contact-us/locations-map">www.ball.com/na/about-ball/contact-us/locations-map</a> ;																																																																		
102-5	Ownership and legal form	2017 <a href="#">Form 10-K</a> (Page 1)																																																																		
102-6	Markets served	<a href="http://www.ball.com/na/solutions">www.ball.com/na/solutions</a> ;																																																																		
102-7	Scale of the organization	<a href="http://www.ball.com/na/about-ball/overview/quick-facts">www.ball.com/na/about-ball/overview/quick-facts</a> ;																																																																		
102-8	Information on employees and other workers	<table border="1"> <thead> <tr> <th colspan="7">Employees at Year-End<sup>1</sup></th> </tr> <tr> <th></th> <th colspan="3">2016</th> <th colspan="3">2017</th> </tr> <tr> <th></th> <th>Total</th> <th>Female</th> <th>Male</th> <th>Total</th> <th>Female</th> <th>Male</th> </tr> </thead> <tbody> <tr> <td>Global</td> <td>18,680</td> <td>2,971</td> <td>15,709</td> <td>18,342</td> <td>2,924</td> <td>15,418</td> </tr> <tr> <td>AMEA</td> <td>588</td> <td>552</td> <td>36</td> <td>683</td> <td>34</td> <td>649</td> </tr> <tr> <td>Asia-Pacific</td> <td>1,249</td> <td>280</td> <td>969</td> <td>1,198</td> <td>259</td> <td>939</td> </tr> <tr> <td>Europe</td> <td>4,712</td> <td>608</td> <td>4,104</td> <td>4,593</td> <td>603</td> <td>3,990</td> </tr> <tr> <td>North America</td> <td>9,350</td> <td>1,679</td> <td>7,671</td> <td>9,249</td> <td>1,666</td> <td>7,583</td> </tr> <tr> <td>South America</td> <td>2,781</td> <td>368</td> <td>2,413</td> <td>2,619</td> <td>362</td> <td>2,257</td> </tr> </tbody> </table>	Employees at Year-End <sup>1</sup>								2016			2017				Total	Female	Male	Total	Female	Male	Global	18,680	2,971	15,709	18,342	2,924	15,418	AMEA	588	552	36	683	34	649	Asia-Pacific	1,249	280	969	1,198	259	939	Europe	4,712	608	4,104	4,593	603	3,990	North America	9,350	1,679	7,671	9,249	1,666	7,583	South America	2,781	368	2,413	2,619	362	2,257			SDG 8
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102-9	Supply chain	<a href="http://www.ball.com/life-cycle">www.ball.com/life-cycle</a> ;																																																																		
102-10	Significant changes to the organization and its supply chain	2017 <a href="#">Form 10-K</a> (Pages 1-6); <a href="http://www.ball.com/na/newsroom/detail?newsid=123780">http://www.ball.com/na/newsroom/detail?newsid=123780</a>																																																																		
102-11	Precautionary Principle or approach	<a href="http://www.ball.com/our-approach">www.ball.com/our-approach</a> ;																																																																		
102-12	External initiatives	<a href="http://www.ball.com/na/vision/sustainability/community">http://www.ball.com/na/vision/sustainability/community</a>			SDG 17																																																															
102-13	Memberships of associations	<a href="http://www.ball.com/stakeholder-engagement">www.ball.com/stakeholder-engagement</a>			SDG 17																																																															
<b>Strategy</b>																																																																				
102-14	Statement from the senior decision-maker	<a href="http://www.ball.com/na/about-ball/investors">www.ball.com/na/about-ball/investors</a> /Featured Reports: 2017 Annual Report First page of 2018 sustainability report, to be published in August 2018																																																																		
102-15	Key impacts, risks, and opportunities	2017 <a href="#">Form 10-K</a> (Pages 9-17); <a href="http://www.ball.com/life-cycle">www.ball.com/life-cycle</a> , <a href="http://www.ball.com/goals">www.ball.com/goals</a>																																																																		
<b>Ethics and Integrity</b>																																																																				
102-16	Values, principles, standards and norms of behavior	<a href="http://www.ball.com/drive-for-10">www.ball.com/drive-for-10</a> ; <a href="#">2016 Business Ethics Code of Conduct</a>			SDG 16																																																															
102-17	Mechanisms for advice and concerns about ethics	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govhighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govhighlights</a> ; <a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govconduct">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govconduct</a> ;			SDG 16																																																															

Governance			
102-18	Governance structure	<a href="http://www.ball.com/sustainability-governance">www.ball.com/sustainability-governance</a> ; <a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a> ; 2017 CDP Climate Change response (Question CC1.1a)	
102-19	Delegating authority	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-20	Executive-level responsibility for economic, environmental, and social topics	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-21	Consulting stakeholders on economic, environmental, and social topics	<a href="http://www.ball.com/sustainability-governance">www.ball.com/sustainability-governance</a> ; <a href="http://www.ball.com/stakeholder-engagement">www.ball.com/stakeholder-engagement</a>	
102-22	Composition of the highest governance body and its committees	<a href="http://www.ball.com/sustainability-governance">www.ball.com/sustainability-governance</a> ; <a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	SDG 5 SDG 16
102-23	Chair of the highest governance body	<a href="http://www.ball.com/na/about-ball/investors">www.ball.com/na/about-ball/investors</a> /Featured Reports: 2017 Annual Report (Page 8)	SDG 16
102-24	Nominating and Selecting highest governance body	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	SDG 5 SDG 16
102-25	Conflicts of interest	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	SDG 16
102-26	Role of highest governance body in setting purpose, values and strategy	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-27	Collective knowledge of highest governance body	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	SDG 4
102-28	Evaluating the highest governance body's performance	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-29	Identifying and managing economic, environmental, and social impacts	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	SDG 16
102-30	Effectiveness of risk management process	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-31	Review of economic, environmental, and social impacts	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-32	Highest governance body's role in sustainability reporting	<a href="http://www.ball.com/sustainability-governance">www.ball.com/sustainability-governance</a> ; <a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-33	Communicating critical concerns	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-34	Nature and total number of critical concerns	<a href="http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights">http://phx.corporate-ir.net/phoenix.zhtml?c=115234&amp;p=irol-govHighlights</a>	
102-35	Remuneration policies	2018 <a href="#">Proxy Statement</a> (Pages 24-56)	
102-36	Process for determining remuneration	2018 <a href="#">Proxy Statement</a> (Pages 24-56)	
102-37	Stakeholders' involvement in remuneration	2018 <a href="#">Proxy Statement</a> (Pages 24-56)	SDG 16
102-38	Annual total compensation ratio	2018 <a href="#">Proxy Statement</a> (Pages 39-44)	
102-39	Percentage increase in annual total compensation ratio	2018 <a href="#">Proxy Statement</a> (Pages 24-56)	
Stakeholder Engagement			
102-40	List of stakeholder groups	<a href="http://www.ball.com/stakeholder-engagement">www.ball.com/stakeholder-engagement</a>	



102-41 Collective bargaining agreement

Ball respects freedom of association and our employees' right to collective bargaining.

SDG 8

Employees at Year-End covered by collective bargaining agreements (percent of total workforce)	2016	2017
Global	47%	46%
North & Central America	30%	32%
South America	89%	87%
Europe	49%	51%
AMEA	11%	10%
Asia	11%	90%

102-42 Identifying and selecting stakeholders [www.ball.com/stakeholder-engagement](http://www.ball.com/stakeholder-engagement);

102-43 Approach to stakeholder engagement [www.ball.com/stakeholder-engagement](http://www.ball.com/stakeholder-engagement);

102-44 Key topics and concerns raised [www.ball.com/stakeholder-engagement](http://www.ball.com/stakeholder-engagement)

**Reporting**

102-45 Entities included in the consolidated financial statements 2017 [Form 10-K](http://www.ball.com/form-10-k)

102-46 Defining report content and topic Boundaries [www.ball.com/sustainability-reports](http://www.ball.com/sustainability-reports)

102-47 List of material topics <http://www.ball.com/reporting-hub>

102-48 Restatements of information In addition to annually reporting Ball's latest environmental performance data, Ball updates historical environmental performance data each year as necessary if updated emission factors or more accurate activity data become available. Ball believes that updating information annually with the best available data is essential to successful performance tracking and goal setting.

102-49 Changes in reporting Ball is reporting a -5% shift in 2016 reported Scope 1 + Scope 2 GHG emissions for 2016 based on updated GHG emission factors

102-50 Reporting period 2016-2017

102-51 Date of most recent report [www.ball.com/sustainability-reports](http://www.ball.com/sustainability-reports)

102-52 Reporting cycle [www.ball.com/sustainability-reports](http://www.ball.com/sustainability-reports)

102-53 Contact point for questions regarding the report <http://www.ball.com/reporting-hub>

102-54 Claims of reporting in accordance with the GRI Standards [www.ball.com/global-reporting-initiative](http://www.ball.com/global-reporting-initiative); [www.ball.com/reporting-hub](http://www.ball.com/reporting-hub)

102-55 GRI content index [www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative](http://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative)

102-56 External assurance [www.ball.com/reporting-hub](http://www.ball.com/reporting-hub) (under "Independent Assurance")  
<http://www.ball.com/Ball/media/Ball/Global/Downloads/Ball-ERM-CVS-Assurance-Statement-May-2018.pdf>



ECONOMIC

SPECIFIC STANDARD DISCLOSURES		RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
<b>Economic Performance</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/priorities">www.ball.com/priorities</a> (materiality matrix accessible from that page)			
103-2	The management approach and its components	<a href="http://www.ball.com/reporting-hub">www.ball.com/reporting-hub</a>			SDG 1 SDG 5 SDG 8
103-3	Evaluation of the management approach	<a href="http://www.ball.com/priorities">www.ball.com/priorities</a> The results of our materiality survey illustrate that internal and external stakeholders have similar views regarding material sustainability issues for Ball. Where aspect boundaries are not self-explanatory, we engage with our stakeholders to determine the scope of our work.			
<b>GRI-201 ECONOMIC PERFORMANCE 2016</b>					
201-1	Direct economic value generated and distributed	See Ball Corporation Annual Reports for details on the company's financial performance. <a href="http://www.ball.com/na/about-ball/investors">www.ball.com/na/about-ball/investors</a>			SDG 2 SDG 5 SDG 7 SDG 8 SDG 9
		(\$ in millions)	2016	2017	
		<b>Economic Value Generated</b>			
		Revenues			
		Income from Minority Investments			
		<b>Economic Value Distributed</b>			
		Operating Costs - including payments to employees			
		Payments to Capital Providers (Interest)			
		Payments to Government Tax Authorities			
		Payments for Charitable Contributions			
		Payments to Shareholders - Dividends & Share Repurchases			
		Difference			
		* Data will be added when Ball's 2018 sustainability report is published (August 2018)			
201-2	Financial implications and other risks and opportunities due to climate change	2018 <a href="#">Form 10-K</a> (Pages 11-12); 2017 CDP Climate Change response (Questions CC5 and CC6)			
201-3	Defined benefit plan obligations and other retirement plans	2018 <a href="#">Form 10-K</a> (Pages 37, 43-44, 48);			

201-4 Financial assistance received from government See Ball Corporation Annual Reports for additional details on the company's financial performance. [www.ball.com/na/about-ball/investors](http://www.ball.com/na/about-ball/investors)

	(\$ in millions)	2016	2017
Tax relief and credits			
Government or other subsidies			
Investment grant, research and development credits			*
Awards			
Royalty holidays			
Financial incentives			
Other financial benefits			

\* Data will be added when Ball's 2018 sustainability report is published (August 2018)

### Market Presence

#### GRI-103 MANAGEMENT APPROACH 2016

103-1	Explanation of the material topic and its Boundary	2017 <a href="#">Form 10-K</a> (Pages 1-5); <a href="http://www.ball.com/na/about-ball/contact-us/locations-map">www.ball.com/na/about-ball/contact-us/locations-map</a>
103-2	The management approach and its components	2017 <a href="#">Form 10-K</a> (Pages 1-5); <a href="http://www.ball.com/na/about-ball/contact-us/locations-map">www.ball.com/na/about-ball/contact-us/locations-map</a>
103-3	Evaluation of the management approach	2017 <a href="#">Form 10-K</a> (Pages 1-5); <a href="http://www.ball.com/na/about-ball/contact-us/locations-map">www.ball.com/na/about-ball/contact-us/locations-map</a>

#### GRI-202 MARKET PRESENCE 2016

202-1	Ratios of standard entry level wage by gender compared to local minimum wage	The North American packaging and Aerospace workforce is compensated above minimum wage rules. Our European remuneration policy is to pay employees at least competitively. In all unionized plants, pay agreements that provide a salary package for the lowest job classes are in place. The package is above minimum wage levels required by law. In nonunionized plants in Serbia and Poland, Ball has company pay schemes, which provide an average payment for each job class that is competitively aligned with market practice. Ball's AMEA and Asia Pacific workforce is compensated above the local minimum wage rules.
202-2	Proportion of senior management hired from the local community	As we continue to expand globally, our common practice remains to hire locally when the required talent is available.

### Indirect Economic Impacts

#### GRI-103 MANAGEMENT APPROACH 2016

103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/na/vision/sustainability/community">www.ball.com/na/vision/sustainability/community</a>	
103-2	The management approach and its components	<a href="http://www.ball.com/na/vision/sustainability/community">www.ball.com/na/vision/sustainability/community</a> <a href="http://www.ball.com/na/vision/sustainability/community/ball-foundation">www.ball.com/na/vision/sustainability/community/ball-foundation</a>	SDG 1 SDG 5 SDG 8
103-3	Evaluation of the management approach	<a href="http://www.ball.com/na/vision/sustainability/community">www.ball.com/na/vision/sustainability/community</a>	

#### GRI-203 INDIRECT ECONOMIC IMPACTS 2016

203-1	Infrastructure investments and services supported	Through The Ball Foundation, the philanthropic arm of Ball Corporation, grants are awarded to nonprofit organizations to fund programs that create a positive, measurable impact in four key areas linked to Ball's business and strategy: STEM education, recycling, disaster relief and food security. Specifically, grants are awarded in the communities where Ball operates in the United States. No significant infrastructure investments as such are made; however, in the United States, as a funder of a Recycling partnership, Ball indirectly invests in recycling infrastructure such as recycling carts (by year end 2017, the Recycling partnership has placed more than 400,000 recycling carts throughout the U.S.).	SDG 2 SDG 5 SDG 7 SDG 9 SDG 11
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203-2	Significant indirect economic impacts	As of May 2018, Ball has no formal systems in place to quantify and report on indirect economic impacts at the company level. In many of the communities where Ball operates manufacturing facilities, we are a large employer. By directly providing jobs and training at Ball and indirectly in our supply chain, we positively influence local economic wealth and prosperity. Another example of indirect economic impacts is related to our packaging products, particularly the product life cycle. Compared to other packaging substrates, metal scrap has a very high economic value. Therefore, some people in countries that lack a formal packaging collection system, such as Brazil, China or certain Eastern European countries, make a living by collecting used metal containers and selling them to local scrap dealers. The scrap dealers then tend to sell the material to larger recycling companies that can remelt the metal so it can be used again in a new application. All of these processes create jobs and indirect economic impacts.	*
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**Procurement practices**  
**GRI-103 MANAGEMENT APPROACH 2016**

103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>	
103-2	The management approach and its components	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>	SDG 1 SDG 5 SDG 8
103-3	Evaluation of the management approach	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>	

**GRI-204 PROCUREMENT PRACTICES 2016**

204-1	Proportion of spending on local suppliers	As a global corporation, definitions of local procurement vary slightly across the regions in terms of relevant regulatory frameworks, geography, ownership/size and inclusion of under-represented groups (e.g., data on minority and woman-owned businesses are collected in North America). In addition, our commitment to responsible purchasing and supplier diversity remains. Responsible Sourcing Framework: <a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>	*	SDG 12
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**Anti-corruption**  
**GRI-103 MANAGEMENT APPROACH 2016**

103-1	Explanation of the material topic and its Boundary	Ball Business Ethics Code of Conduct (available in 18 languages) <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>	
103-2	The management approach and its components	Ball Business Ethics Code of Conduct: <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>	SDG 1 SDG 5 SDG 8
103-3	Evaluation of the management approach	Ball Business Ethics Code of Conduct: <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>	

**GRI-205 ANTI-CORRUPTION 2016**

205-1	Operations assessed for risks related to corruption	<a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>		SDG 16
205-2	Communication and training about anti-corruption policies and procedures	The Code applies to Ball Corporation and its divisions, operations and subsidiaries, and to any greater than 50 percent company-owned joint ventures. The Code also applies to any 50 percent or less Company-owned joint ventures (with respect to Ball's operating responsibilities and any Ball appointed directors, officers and employees). Further, all Ball business partners, including suppliers, agents and vendors, should strive to adhere to the principles outlined in the Code.		SDG 16

Each year, the Law Department conducts the Annual Corporate Compliance certification program, through which a selected group of employees are required to certify to the Code of Conduct, as well as a few other policies and documents. We use a risk-based approach to identify participants for the Annual Corporate Compliance certification. Globally, the criteria for populating the list of employees assigned the certification is the equivalent of U.S. job grades 16 and above. Only full and part time employees were included in the program; contractors and temporary workers are excluded. However, 100% of suppliers have to acknowledge and sign our Supplier Guiding Principles.

In 2017, we sent the certification to approximately 6,048 employees of Ball Corporation and its subsidiaries and 43 joint venture employees for acknowledgment of receipt and compliance. We obtained 100% completion of the certification task. In 2016 and 2015 we obtained 100% completion of the certification task as well, and it was



assigned to approximately 5,316 employees and 5,089 employees respectively. For 2017, 2016 and 2015, 33%, 29% and 34% of total employees were trained and provided written acknowledgment was obtained. For training and acknowledgement, Ball does not identify which parties are employees, subsidiaries or joint ventures, but rather the employee base is viewed as one holistic number. In the 2017 certification program, we assigned the certification to employees at two of Ball's joint venture locations, who met the U.S. job grade 16 and above criteria. These three locations include Dammam (Saudi Arabia) and Ho Chi Minh (Vietnam).  
 Ball has not been involved in any confirmed cases of corruption and bribery in between 2016-2017 and has not been involved in any ongoing investigations.

205-3 Confirmed incidents of corruption and actions taken

SDG 16

**Anti-competitive Behavior**

**GRI-103 MANAGEMENT APPROACH 2016**

103-1 Explanation of the material topic and its Boundary

Ball Business Ethics Code of Conduct (available in 18 languages) [www.ball.com/codeofconduct](http://www.ball.com/codeofconduct)

103-2 The management approach and its components

Ball Business Ethics Code of Conduct: [www.ball.com/codeofconduct](http://www.ball.com/codeofconduct)

SDG 1  
SDG 5  
SDG 8

103-3 Evaluation of the management approach

Ball Business Ethics Code of Conduct: [www.ball.com/codeofconduct](http://www.ball.com/codeofconduct)

**GRI-206 ANTI-COMPETITIVE BEHAVIOR 2016**

206-1 Legal actions for anti-competitive behavior, anti-trust, and monopoly practices

No legal actions are pending or were completed during the reporting period regarding anti-competitive behavior and violations of anti-trust and monopoly legislation.

SDG 16



ENVIRONMENTAL

SPECIFIC STANDARD DISCLOSURES	RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK																																							
<b>Materials</b>																																											
<b>GRI-103 MANAGEMENT APPROACH 2016</b>																																											
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/operational-excellence">www.ball.com/operational-excellence</a>																																									
103-2	The management approach and its components	<a href="http://www.ball.com/operational-excellence">www.ball.com/operational-excellence</a>		SDG 12																																							
103-3	Evaluation of the management approach	<a href="http://www.ball.com/operational-excellence">www.ball.com/operational-excellence</a>																																									
<b>GRI-301 MATERIALS 2016</b>																																											
301-1	Materials used by weight or volume	<table border="1"> <thead> <tr> <th>Material Usage (metric tons)*</th> <th>2016</th> <th>2017</th> </tr> </thead> <tbody> <tr> <td>Aluminum</td> <td>1,642,059</td> <td>1,751,726</td> </tr> <tr> <td>Steel</td> <td>398,896</td> <td>416,396</td> </tr> <tr> <td>Inks</td> <td>3,567</td> <td>3,822</td> </tr> <tr> <td>Coatings</td> <td>95,985</td> <td>105,093</td> </tr> <tr> <td>End Compound</td> <td>4,715</td> <td>4,786</td> </tr> <tr> <td colspan="3"><b>Associated Process Materials (metric tons)*</b></td> </tr> <tr> <td>Bulk nitrogen</td> <td>140</td> <td>247</td> </tr> <tr> <td>Welding wire (can assembly)</td> <td>4,826</td> <td>6,022</td> </tr> <tr> <td>Gear lubes and oils</td> <td>8,346</td> <td>4,117</td> </tr> <tr> <td>Acids, bases and washer chemicals</td> <td>27,176</td> <td>7,092</td> </tr> <tr> <td>Copper sheet lube tab lube, body maker coolant</td> <td>5,011</td> <td>7,275</td> </tr> <tr> <td>Cleaning solvents and detergents consumed (limited to those included in VOC reporting)</td> <td>1,381</td> <td>796</td> </tr> </tbody> </table>	Material Usage (metric tons)*	2016	2017	Aluminum	1,642,059	1,751,726	Steel	398,896	416,396	Inks	3,567	3,822	Coatings	95,985	105,093	End Compound	4,715	4,786	<b>Associated Process Materials (metric tons)*</b>			Bulk nitrogen	140	247	Welding wire (can assembly)	4,826	6,022	Gear lubes and oils	8,346	4,117	Acids, bases and washer chemicals	27,176	7,092	Copper sheet lube tab lube, body maker coolant	5,011	7,275	Cleaning solvents and detergents consumed (limited to those included in VOC reporting)	1,381	796		SDG 8 SDG 12
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301-2	Recycled input materials used	<a href="http://www.ball.com/recycling">www.ball.com/recycling</a>		SDG 12																																							
	<p>Recycled content is a misleading indicator in determining the sustainability profile of metal packaging. Whether the recycled content percentage is 0 or 100 (or anything in between) what effects the environmental impacts associated with the life cycle of metal packaging is the recycling rate. By recycling a can, for example, the need for extracting, smelting and repurposing an equivalent amount of energy-intensive virgin aluminum is replaced. Thus, resources, energy, greenhouse gas emissions and landfill-space are saved.</p> <p>When metal is recycled, the inherent material qualities remain the same and the material can be used again and again in new applications such as bike frames, cars or beverage cans. No “downcycling” occurs during metal recycling (as it does with e.g. PET and paper). Metal recycling is as old as metals are. And ever since, the demand for aluminum and steel has been higher than the availability of scrap. It makes economic and environmental sense to use every piece of secondary material that becomes available. Therefore, every can that gets collected, will be recycled. Secondary or recycled metal reduces the need for primary metal 1:1 – no matter what product it will be used for. By replacing the need for virgin material, up to 95% of the energy required for virgin metal can be saved.</p> <p>For other substrates such as glass or PET, the situation can be slightly different due to a number of reasons including, but not limited to:</p>																																										



SPECIFIC STANDARD DISCLOSURES	RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
	<ul style="list-style-type: none"> <li>economic value of secondary materials (aluminum has the highest scrap value of all regular packaging materials),</li> <li>permanent material qualities (cans are completely and infinitely recyclable while substrates such as PET and paper lose some of their inherent properties with each recycling cycle),</li> <li>design for recycling (not every package that can theoretically be recycled, will be recycled; for example composite materials can be technically and economically challenging to separate)</li> <li>effect on other substrates in the recycling stream (broken glass, for example, deteriorates the value of plastic, paper and metal bales),</li> <li>ease of using recycled material in new applications (e.g. food contact regulation around recycled PET).</li> </ul> <p>The metal industry could start to use more recycled metal in one specific application. As a consequence, the available scrap for another metal application would be reduced and more energy-intensive virgin metal would be required to produce that product. Overall, environmental burdens would only be shifted from one product to another and no net environmental benefit would be created. That is why we at Ball focus our efforts on making a real difference and creating positive change by increasing the recycling rates of metal packaging. Visit <a href="http://www.ball.com/recycling">www.ball.com/recycling</a> to find out more on recycling programs we support.</p>			
301-3	Reclaimed products and their packaging materials <a href="http://www.ball.com/recycling">www.ball.com/recycling</a> <a href="http://www.ball.com/product-stewardship">www.ball.com/product-stewardship</a> <a href="http://www.ball.com/metal-packaging">www.ball.com/metal-packaging</a>			SDG 12

Energy																						
GRI-103 MANAGEMENT APPROACH 2016																						
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/energy">www.ball.com/energy</a>																				
103-2	The management approach and its components	<a href="http://www.ball.com/energy">www.ball.com/energy</a>																				
103-3	Evaluation of the management approach	<a href="http://www.ball.com/energy">www.ball.com/energy</a>																				
GRI-302 ENERGY 2016																						
302-1	Energy consumption within the organization	<a href="http://www.ball.com/energy">www.ball.com/energy</a> , <a href="http://www.ball.com/data-center">www.ball.com/data-center</a>		SDG 7 SDG 8 SDG 12 SDG 13																		
		<table border="1"> <thead> <tr> <th>Direct Energy Use (MWh)</th> <th>2016</th> <th>2017</th> </tr> </thead> <tbody> <tr> <td>Natural gas</td> <td>2,160,040</td> <td>2,158,451</td> </tr> <tr> <td>Propane (stationary and mobile)</td> <td>63,249</td> <td>70,645</td> </tr> <tr> <td>Diesel</td> <td>504</td> <td>7,527</td> </tr> <tr> <td>Other direct sources</td> <td>24,029</td> <td>22,093</td> </tr> <tr> <td></td> <td><b>2,247,822</b></td> <td><b>2,258,716</b></td> </tr> </tbody> </table>	Direct Energy Use (MWh)	2016	2017	Natural gas	2,160,040	2,158,451	Propane (stationary and mobile)	63,249	70,645	Diesel	504	7,527	Other direct sources	24,029	22,093		<b>2,247,822</b>	<b>2,258,716</b>	✓	
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302-2	Energy consumption outside of the organization	<a href="http://www.ball.com/energy">www.ball.com/energy</a> , <a href="http://www.ball.com/data-center">www.ball.com/data-center</a>		SDG 7 SDG 8 SDG 12 SDG 13																		
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302-3 Energy intensity

[www.ball.com/energy](http://www.ball.com/energy), [www.ball.com/data-center](http://www.ball.com/data-center)

Business Unit (kWh per normalization factor)*	2016	2017
Beverage Cans	37.4	36.4
Tinplate	76.1	78.9
Slugs	2,631	2,822
Impact Extruded	104.5	109.1
Aerospace	41	36



SDG 7  
SDG 8  
SDG 12  
SDG 13

\*Visit [www.ball.com/normalization](http://www.ball.com/normalization) for details on our approach regarding sustainability data normalization

302-4 Reduction of energy consumption

<http://www.ball.com/data-center>; [www.ball.com/energy](http://www.ball.com/energy)

Ball's management team is committed to energy improvements and invested \$13 million in energy-saving projects in 2016. These measures will generate estimated electricity savings of 39 million kilowatt hours and natural gas savings of approximately 104 million kilowatt hours per year, exceeding the annual energy consumption of 4,500 average U.S. households (based on 2009 data published by the U.S. Energy Information Administration).

In 2017, we invested additional \$11.3 million in energy efficiency projects, generating estimated electricity savings of 34,209 MWh and natural gas savings of approximately 4,302 MWh per year, exceeding the annual energy consumption of 1,230 average U.S. households.

302-5 Reductions in energy requirements of products and services

[www.ball.com/metal-packaging](http://www.ball.com/metal-packaging)

[http://aluminum.org/sites/default/files/AluminumCanUse\\_Report\\_Clean%20Final\\_07-22-2016.pdf](http://aluminum.org/sites/default/files/AluminumCanUse_Report_Clean%20Final_07-22-2016.pdf)

Water

GRI-103 MANAGEMENT APPROACH 2016

103-1 Explanation of the material topic and its Boundary

[www.ball.com/water](http://www.ball.com/water)

103-2 The management approach and its components

[www.ball.com/water](http://www.ball.com/water)

103-3 Evaluation of the management approach

[www.ball.com/water](http://www.ball.com/water)

GRI-303 WATER 2016

303-1 Water withdrawal by source

[www.ball.com/water](http://www.ball.com/water), [www.ball.com/data-center](http://www.ball.com/data-center)

Water Withdrawal (cubic meters)	2016	2017
Total water withdrawal	10,102,501	9,793,888



We are planning to start gathering water withdrawal by source in 2018. We estimate that more than 90 percent of the water used in our manufacturing locations comes from municipal water sources, with the remainder coming from wells.

303-2 Water sources significantly affected by withdrawal of water

An estimated 90 percent of the water withdrawn from municipal systems or wells is treated and cleaned onsite and discharged to the respective systems. About 10 percent of the water withdrawn evaporates. Ball conducted a survey in 2007 to determine the water sources that were being affected by our North American packaging manufacturing facilities' withdrawal of water. At the time of the survey, we found that no water sources were significantly affected by water withdrawn by Ball. Since then, we have not conducted a new survey on water sources significantly affected by withdrawal of water.

SDG 6

303-3 Water recycled and reused

[www.ball.com/water](http://www.ball.com/water)

SDG 6



Ball utilizes various opportunities to reuse and recycle water in our manufacturing processes. Ball's most significant use of water is in manufacturing beverage cans—specifically, washing the cans after forming in a multi-stage washer. Our washers use a counter-flow technology which allows the cleanest water in the washer (final rinse stages) to counter-flow to the previous washing stages, therefore maximizing water utilization. We invest in research and development and work with academic institutes to explore opportunities to recycle and reuse more water.

SDG 8  
SDG 12

**Biodiversity**

**GRI-103 MANAGEMENT APPROACH 2016**

103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/life-cycle">www.ball.com/life-cycle</a>	
103-2	The management approach and its components	<a href="http://www.ball.com/life-cycle">www.ball.com/life-cycle</a>	
103-3	Evaluation of the management approach	<a href="http://www.ball.com/life-cycle">www.ball.com/life-cycle</a>	

**GRI-304 BIODIVERSITY 2016**

304-1	Operational sites owned, leased, managed in, or adjacent to, protected areas and areas of high biodiversity value outside protected areas	Our facilities are typically located in industrial zoning sites. Twenty-two acres of land on our 56-acre plant site in Rome, Georgia, have been certified as a "Wildlife at Work" site by the Wildlife Habitat Council – a nonprofit, non-lobbying group of corporations, conservation organizations and individuals dedicated to enhancing and restoring wildlife habitat. Rome employees have built nesting structures on the land to enhance habitat for birds of prey. They also constructed wildflower gardens to improve habitat for native pollinators. Another example of how Ball supports biodiversity started at our Bieme, France, plant in 2010. When the plant built a new watershed basin for rainwater, employees developed a biotope on top of it where numerous animals and regional plants settled in the meantime. Employees use the grounds for their breaks and every visitor can learn about the special habitat when onsite. This initiative has been rewarded with the "Environmental Performance Award" by the regional chamber of commerce and the trophy of the best environmental performance by the north regional council and the French environmental ministry.	SDG 15
304-2	Significant impacts of activities, products, and services on biodiversity	Latest materiality assessment conducted confirmed that biodiversity is not considered among priority issues with Ball's employees and stakeholders, corresponding to our first formal survey in 2009. Therefore, we don't gather these information on a corporate level, while regional and local EHS teams are making sure the activities carried out locally does not have an adverse effect on biodiversity.	SDG 15 *
304-3	Habitats protected or restored	Our facilities are typically located in industrial zoning sites. Twenty-two acres of land on our 56-acre plant site in Rome, Georgia, have been certified as a "Wildlife at Work" site by the Wildlife Habitat Council – a nonprofit, non-lobbying group of corporations, conservation organizations and individuals dedicated to enhancing and restoring wildlife habitat.	SDG 15 *
304-4	IUCN Red List species and national conservation list species with habitats in areas affected by operations	Latest materiality assessment conducted confirmed that biodiversity is not considered among priority issues with Ball's employees and stakeholders, corresponding to our first formal survey in 2009, as our facilities are typically located in industrial zoning sites.	SDG 15 *

**Emissions**

**GRI-103 MANAGEMENT APPROACH 2016**

103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a> ; <a href="http://www.ball.com/cut-4-carbon">www.ball.com/cut-4-carbon</a> ; <a href="http://www.ball.com/vocs">www.ball.com/vocs</a>	
103-2	The management approach and its components	<a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a> ; <a href="http://www.ball.com/cut-4-carbon">www.ball.com/cut-4-carbon</a> ; <a href="http://www.ball.com/vocs">www.ball.com/vocs</a>	
103-3	Evaluation of the management approach	<a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a> ; <a href="http://www.ball.com/cut-4-carbon">www.ball.com/cut-4-carbon</a> ; <a href="http://www.ball.com/vocs">www.ball.com/vocs</a>	

**GRI-305 EMISSIONS 2016**

305-1	Direct (Scope 1) GHG emissions	<a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a> ; <a href="http://www.ball.com/data-center">www.ball.com/data-center</a> ; 2017 CDP Climate Change response (Questions CC8.2, CC9)	SDG 3 SDG 12 SDG 13 SDG 14
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Direct GHG Emissions (metric tons)	2016	2017																	
Absolute direct GHG Emissions (Scope 1)	451,200	452,811																	
305-2	Energy indirect (Scope 2) GHG emissions	<p><a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a>; <a href="http://www.ball.com/data-center">www.ball.com/data-center</a>; 2017 CDP Climate Change response (Questions CC8.3a, CC10)</p> <table border="1"> <thead> <tr> <th>Indirect GHG Emissions (metric tons)</th> <th>2016</th> <th>2017</th> </tr> </thead> <tbody> <tr> <td>Absolute indirect GHG Emissions (Scope 2)</td> <td>971,241</td> <td>957,306</td> </tr> </tbody> </table>	Indirect GHG Emissions (metric tons)	2016	2017	Absolute indirect GHG Emissions (Scope 2)	971,241	957,306	✓	SDG 3 SDG 12 SDG 13 SDG 14 SDG 15									
Indirect GHG Emissions (metric tons)	2016	2017																	
Absolute indirect GHG Emissions (Scope 2)	971,241	957,306																	
305-3	Other indirect (Scope 3) GHG emissions	<p><a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a>; <a href="http://www.ball.com/data-center">www.ball.com/data-center</a>; 2017 CDP Climate Change response (Question CC14)</p> <table border="1"> <thead> <tr> <th>Indirect GHG Emissions (metric tons)</th> <th>2016</th> <th>2017</th> </tr> </thead> <tbody> <tr> <td>All other indirect emissions (Scope 3)</td> <td>N/A</td> <td>13,247,139</td> </tr> </tbody> </table>	Indirect GHG Emissions (metric tons)	2016	2017	All other indirect emissions (Scope 3)	N/A	13,247,139	✓	SDG 3 SDG 12 SDG 13 SDG 14 SDG 15									
Indirect GHG Emissions (metric tons)	2016	2017																	
All other indirect emissions (Scope 3)	N/A	13,247,139																	
305-4	GHG emissions intensity	<p><a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a>; 2017 CDP Climate Change response (Question CC3.1) Ball uses a Carbon Intensity Index calculated based on the total GHG emissions of each business we operate in, normalized by a denominator specific to each business. The normalization factor is a weighted approach based on the differing intensities of production/sales in the base year. It accounts not only for overall changes in production over the goal period, but for changes in production mix between various business segments.</p>		SDG 13 SDG 14 SDG 15															
305-5	Reduction of GHG emissions	<p><a href="http://www.ball.com/ghg-emissions">www.ball.com/ghg-emissions</a>; 2017 CDP Climate Change response (Question CC3.3)</p>		SDG 13 SDG 14 SDG 15															
305-6	Emissions of ozone-depleting substances (ODS)	<p>Ozone depleting substances released by Ball facilities are below permissible limits for reporting and considered to be insignificant. That is why they are not currently tracked. Ozone depleting substances are only used in small quantities, such as electrical part cleaners or chlorofluorocarbon (CFC) from air-conditioning systems.</p>		SDG 13															
305-7	Nitrogen oxides (NOX), sulfur oxides (SOX), and other significant air emissions	<p>The Clean Air Act is a comprehensive federal U.S. law that regulates air emissions from stationary and mobile sources. The U.S. Environmental Protection Agency sets standards for six common air pollutants. These commonly found air pollutants (also known as "criteria pollutants") are found all over the world. They are particle pollution (often referred to as particulate matter), photochemical oxidants and ground-level ozone, carbon monoxide, sulfur oxides, nitrogen oxides, and lead.</p> <p>At Ball, the most significant criteria pollutants are nitrogen oxides, sulfur oxides, particulate matter and VOCs. The first three are primarily emitted through our direct energy use; the burning of natural gas. VOCs are primarily emitted as a result of our coating and curing processes. Their release is minimized through the use of controls such as Regenerative Thermal Oxidizers (RTOs). Our RTOs have destruction efficiencies of 95 percent and higher. VOCs react with sunlight to form ground-level ozone.</p> <table border="1"> <thead> <tr> <th>Air Emissions (metric tons)</th> <th>2016</th> <th>2017</th> </tr> </thead> <tbody> <tr> <td>NO<sub>x</sub></td> <td>323</td> <td>326</td> </tr> <tr> <td>SO<sub>x</sub></td> <td>1.8</td> <td>1.9</td> </tr> <tr> <td>VOC (after control)</td> <td>9,452</td> <td>9,477</td> </tr> <tr> <td>Particulates</td> <td>23</td> <td>24</td> </tr> </tbody> </table>	Air Emissions (metric tons)	2016	2017	NO <sub>x</sub>	323	326	SO <sub>x</sub>	1.8	1.9	VOC (after control)	9,452	9,477	Particulates	23	24		SDG 13
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**Effluents and Waste**

**GRI-103 MANAGEMENT APPROACH 2016**

103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/waste">www.ball.com/waste</a>	
103-2	The management approach and its components	<a href="http://www.ball.com/waste">www.ball.com/waste</a>	
103-3	Evaluation of the management approach	<a href="http://www.ball.com/waste">www.ball.com/waste</a>	

**GRI-306 EFFLUENTS AND WASTE 2016**

306-1	Water discharge by quality and destination	Water discharge is estimated at 90 percent of total water withdrawal. The pollutant loading water, or water with a total quantity of pollutants being discharged from our facilities, is measured irregularly. Typically, the Biochemical Oxygen Demand (BOD), Chemical Oxygen Demand (COD) and Total Suspended Solids (TSS) get measured. BOD is a procedure for determining how fast biological organisms use oxygen in a body of water. BOD is an indication of the quality of a water source. BOD can be used to gauge the effectiveness of wastewater treatment plants. Pristine rivers will have a BOD below 1 mg/l. Municipal sewage that is efficiently treated is about 20 mg/l. Oil is a major contributor to BOD. TSS are the dry weight of particles trapped by a filter, typically of a specified pore size. It is a measure of the quantity of solids in the water. Aluminum can plants have pretreatment wastewater systems to treat solid pollutants such as aluminum, magnesium, fluoride and phosphorus, oils and acidic or caustic waters in can-washing water before being discharged to a publicly owned treatment works.	SDG 3 SDG 6 SDG 12 SDG 14 SDG 15
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306-2	Waste by type and disposal method	<a href="http://www.ball.com/waste">www.ball.com/waste</a> ; <a href="http://www.ball.com/data-center">www.ball.com/data-center</a>	SDG 12
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Total Waste by Disposal Method (metric tons)	2016	2017
Recycling/ Reuse	42,128	45,517
Other Waste Treatment	19,177	17,832
Landfill	11,471	9,334
<b>Total</b>	<b>72,776</b>	<b>72,683</b>



306-3	Significant spills	<table border="1"> <thead> <tr> <th>Significant Spills* (#)</th> <th>2016</th> <th>2017</th> </tr> </thead> <tbody> <tr> <td>Number of significant spills</td> <td>0</td> <td>0</td> </tr> </tbody> </table> <p><i>*We cover significant spills, fines and penalties in our reporting greater than \$10,000 USD (or equivalent when converted from local currency).</i></p>	Significant Spills* (#)	2016	2017	Number of significant spills	0	0	SDG 3 SDG 6 SDG 12 SDG 14 SDG 15
Significant Spills* (#)	2016	2017							
Number of significant spills	0	0							

306-4	Transport of hazardous waste	The total amount of hazardous waste generated by Ball is estimated to be 8,330 Metric Tons	*
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306-5	Water bodies affected by water discharges and/or runoff	There were no incidents reported related to water discharges and/or runoff in 2016 and 2017.	
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**Environmental Compliance**

**GRI-103 MANAGEMENT APPROACH 2016**

103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/operational-excellence">www.ball.com/operational-excellence</a>	
103-2	The management approach and its components	<a href="http://www.ball.com/operational-excellence">www.ball.com/operational-excellence</a>	
103-3	Evaluation of the management approach	<a href="http://www.ball.com/operational-excellence">www.ball.com/operational-excellence</a>	

**GRI-307 ENVIRONMENTAL COMPLIANCE 2016**

307-1	Non-compliance with environmental		SDG 12
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laws and regulations	Significant fines* (\$)	2016	2017	SDG 13
	Monetary value of significant fines	0	0	SDG 14 SDG 15

*\*We cover significant spills, fines and penalties in our reporting greater than \$10,000 USD (or equivalent when converted from local currency).*

**Supplier Environmental Assessment  
GRI-103 MANAGEMENT APPROACH 2016**

103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>
103-2	The management approach and its components	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a> Ball is also utilizing SEDEX platform (Buyer/Supplier (AB) membership holder): <a href="http://www.sedexglobal.com">www.sedexglobal.com</a>
103-3	Evaluation of the management approach	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>

**GRI-308 SUPPLIER ENVIRONMENTAL ASSESSMENT 2016**

308-1	New suppliers that were screened using environmental criteria	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>	SDG 12 SDG 17
308-2	Negative environmental impacts in the supply chain and actions taken	<a href="http://www.ball.com/life-cycle">www.ball.com/life-cycle</a>	SDG 17

A Ball representative served on Aluminum Stewardship Initiative's (ASI, <https://aluminium-stewardship.org>) Standards Committee during the entire standard setting process of five years (standards launched in December 2017) and engaged in in-depth dialogue with supply chain partners and non-governmental organizations on environmental, social and governance risks in the aluminum value chain. Our ASI membership enhances our process of risk identification and mitigation for the most crucial material for our business and our largest supply chain spend category.





SPECIFIC STANDARD DISCLOSURES

RESPONSE | PAGE | LINK

OMISSION EXTERNAL ASSURANCE SDG LINK

Number and Rate of Employee Turnover* (# and % of total workforce)		Total
2016	<b>Global</b>	<b>2,391 13%</b>
	North America	1,540 16%
	South America	271 10%
	Europe	430 9%
	Asia	150 12%
2017	<b>Global</b>	<b>2,512 16%</b>
	North America	1,398 15%
	South America	472 17%
	Europe	431 9%
	AMEA	50 15%
	Asia	161 13%

\* Included in employees leaving the organization are voluntary departures or those due to dismissal, retirement and passing.

401-2 Benefits provided to full-time employees not provided to temporary employees

[www.ball.com/health-wellness](http://www.ball.com/health-wellness)

SDG 8

Benefits vary by country and are based on one philosophy: Global Principles with Local Solutions.

**Global Principles**

- One Ball: We treat one another as being part of our family at Ball Corporation. In general, this means “put your team above self” and our rewards programs are geared to reinforce that. While we do have certain programs that focus on attracting and retaining key individual talent, the majority of programs are focused on team, plant or business performance, with less emphasis on individual performance.
- Act like owners: As Ball is an Economic Value Added® (“EVA”) company, Ball asks its employees to drive EVA performance throughout our daily lives by acting like owners of the company. Our Rewards programs focus on this mindset in several ways, including for example:
  - Rewarding through short-term incentives based on EVA generation and high-quality plant and team performance
  - Focusing on employee health and wellbeing, asking employees to “own their own health”, which results in a happier, healthier and more productive team—a win-win for employees, their families and for Ball
  - Allowing employees to directly own a part of Ball via purchase of Ball stock through a variety of vehicles
  - Finding opportunities to leverage our global scale for the effective and efficient delivery of benefits

**Local Solutions**

- Understand and embrace local market best practices: In order to compete successfully, Ball needs to attract and retain high-quality talent in the geographies in which we do business. To do so, Ball constantly monitors local market best and prevalent practices, and tailors rewards programs to achieve its talent management



SPECIFIC STANDARD DISCLOSURES	RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
	<p>goals. We never want to lose desirable talent due to being out of line with market from a rewards perspective.</p> <ul style="list-style-type: none"> <li>Flex with dynamic business needs: As our customers demand innovative, high-quality products at the lowest possible cost, the talent and financial needs of the business change over time. As such, the view on “market practice” may change, too, depending on the type of talent needed and rewards desired by that talent. Ball is committed to standing behind its global principles and still finding creative, locally focused solutions to align business needs with market practice.</li> </ul>			
401-3	Parental leave	Ball does not currently track retention rates after parental leave consistently in all regions. We encourage all employees to take leave when and if necessary. Globally, we adhere to all applicable laws and offer additional benefits (also see 401-2 and <a href="http://www.ball.com/careers">www.ball.com/careers</a> ).		SDG 5 SDG 8
<b>Labor/Management Relations</b>				
<b>GRI-103 MANAGEMENT APPROACH 2016</b>				
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/talent-management">www.ball.com/talent-management</a> ; <a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a>		
103-2	The management approach and its components	<a href="http://www.ball.com/talent-management">www.ball.com/talent-management</a> ; <a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a>		
103-3	Evaluation of the management approach	<a href="http://www.ball.com/talent-management">www.ball.com/talent-management</a> ; <a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a>		
<b>GRI-402 LABOR/MANAGEMENT RELATIONS 2016</b>				
402-1	Minimum notice periods regarding operational changes	<p><b>North America</b></p> <p>In North America, Ball notifies employees of impending layoffs as required by applicable local, state and federal law, including the federal WARN Act (minimum 60 day notice required), and sooner if possible. In some cases, collective bargaining agreements extend the minimum notice period to 90 days. In Canada Ball follows the Canadian notice requirements.</p> <p><b>Europe</b></p> <p>Minimum notice periods regarding operational changes apply to non-management personnel and are set forth in collective bargaining agreements. In Germany, depending on seniority, the minimum notice periods varies from four weeks to seven months. In France, depending on the employment category, the minimum notice period would vary from two months to one year. In the Netherlands, depending on employment category, the minimum notice period varies from one to two months. In the UK, depending on the reason for the operational change, the minimum notice period varies from two months to 12 weeks.</p> <p><b>Asia</b></p> <p>Ball’s practice in Asia is to give 30 days of advance notice for significant operational changes. This is not a legal requirement.</p>	SDG 8	
<b>Occupational Health and Safety</b>				
<b>GRI-103 MANAGEMENT APPROACH 2016</b>				
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/safety">www.ball.com/safety</a>		
103-2	The management approach and its components	<a href="http://www.ball.com/safety">www.ball.com/safety</a> ; <a href="http://www.ball.com/Ball/media/Ball/Global/Downloads/Global-Health-and-Safety-Policy-2017.pdf">http://www.ball.com/Ball/media/Ball/Global/Downloads/Global-Health-and-Safety-Policy-2017.pdf</a>		
103-3	Evaluation of the management approach	<a href="http://www.ball.com/safety">www.ball.com/safety</a> ; <a href="http://www.ball.com/Ball/media/Ball/Global/Downloads/Global-Health-and-Safety-Policy-2017.pdf">http://www.ball.com/Ball/media/Ball/Global/Downloads/Global-Health-and-Safety-Policy-2017.pdf</a>		
<b>GRI-403 LABOR/MANAGEMENT RELATIONS 2016</b>				
403-1	Workers represented in formal joint management–worker health and safety committees	All United States facilities have formal management/worker health and safety committees. The percentage of the workforce represented in those committees was not monitored during 2016-2017. In our European facilities, we have representation on committees in all of our operations with at least 10 percent of that from the workforce. In Argentina, the committee has workforce representation from at least 10 percent of the plant workforce. Within our		SDG 8



SPECIFIC STANDARD DISCLOSURES	RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK														
	facilities in China and Vietnam, environmental health and safety committee members represent 5 to 10 percent of the plant workforce, and committees hold monthly meetings. In Mexico, the safety committee follows the local standard (NOM-019-STPS-2011) and the committee is made up of 6 union works and 6 administrative employees, representing 7 percent of the workforce.																	
403-2 Type of injury and rates of injury, occupational diseases, lost days, and absenteeism and total number of work-related fatalities	Ball Corporation collects information per facility regarding injury type and rate. Some of the key metrics we monitor at the plant, regional, business and corporate level are included in the following table:			SDG 3 SDG 8														
	<table border="1"> <thead> <tr> <th data-bbox="569 407 1073 435">Safety Statistics</th> <th data-bbox="1073 407 1444 435">2016</th> <th data-bbox="1444 407 1671 435">2017</th> </tr> </thead> <tbody> <tr> <td data-bbox="569 443 1073 467">Lost-time rate</td> <td data-bbox="1073 443 1444 467">0.28</td> <td data-bbox="1444 443 1671 467">0.28</td> </tr> <tr> <td data-bbox="569 475 1073 500">Total recordable injuries rate</td> <td data-bbox="1073 475 1444 500">1.06</td> <td data-bbox="1444 475 1671 500">1.00</td> </tr> <tr> <td data-bbox="569 508 1073 532">Severity rate</td> <td data-bbox="1073 508 1444 532">9.18</td> <td data-bbox="1444 508 1671 532">9.63</td> </tr> <tr> <td data-bbox="569 540 1073 565">Work-related fatalities</td> <td data-bbox="1073 540 1444 565">0</td> <td data-bbox="1444 540 1671 565">0</td> </tr> </tbody> </table>	Safety Statistics	2016	2017	Lost-time rate	0.28	0.28	Total recordable injuries rate	1.06	1.00	Severity rate	9.18	9.63	Work-related fatalities	0	0		
Safety Statistics	2016	2017																
Lost-time rate	0.28	0.28																
Total recordable injuries rate	1.06	1.00																
Severity rate	9.18	9.63																
Work-related fatalities	0	0																
403-3 Workers with high incidence or high risk of diseases related to their occupation	<i>Information will be added when Ball publishes the 2018 sustainability report (August 2018)</i>	*		SDG 3 SDG 8														
403-4 Health and safety topics covered in formal agreements with trade unions	Ball focuses on employee health and safety for all employees, unionized and nonunionized. While some safety concerns such as safety gear, employee committees and first aid facilities are addressed in formal agreements, these are all also available to nonunionized workers. All of our health and safety policies, programs and resources are available to all our employees.			SDG 8														
<b>Training and Education</b> <b>GRI-103 MANAGEMENT APPROACH 2016</b>																		
103-1 Explanation of the material topic and its Boundary	<a href="http://www.ball.com/talent-management">www.ball.com/talent-management</a>																	
103-2 The management approach and its components	<a href="http://www.ball.com/talent-management">www.ball.com/talent-management</a>																	
103-3 Evaluation of the management approach	<a href="http://www.ball.com/talent-management">www.ball.com/talent-management</a>																	
<b>GRI-404 TRAINING AND EDUCATION 2016</b>																		
404-1 Average hours of training per year per employee	Ball employees complete training, both through mandatory courses at the start of employment, and through required and voluntary skills development and refresher training throughout their careers. When it comes to training, the 70:20:10 rule applies; where 70 percent training should be on the job; 20 percent through guidance/coaching; and 10 percent of training from books and class room learning. Over 100,000 formal training hours are provided to our employees globally each year.			SDG 4 SDG 5 SDG 8														
404-2 Programs for upgrading employee skills and transition assistance programs	<p>As Ball has grown over the years and conducts business in packaging and aerospace, some tools and processes around organizational learning and knowledge management are business unit- or company-specific. Ball's strategy is to harmonize towards global best practices where it benefits the global organization and individual business units. Below are descriptions of globally adopted standards and operating company specific standards used to improve skill mapping and development:</p> <p><b>North America (Packaging)</b></p> <ul style="list-style-type: none"> <li>• Driven by top-management across all functions, the Beverage Best Practice Process has been established with the goal to find and share best practices that improve throughput, reduce costs, improve maintenance, and drive EVA across the North American beverage plants. An organization structure of steering teams, quad teams and plant teams, and a solid process from idea submittal, review, verification and roll out to the network ensure the sustainability of the effort. In addition to the structure and processes, all information (including the implementation progress in different plants) is shared via our intranet.</li> </ul>			SDG 8														



SPECIFIC STANDARD DISCLOSURES	RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
	<ul style="list-style-type: none"> <li>• Engineering: Knowledge is gained and shared using the documentation for both proposals and troubleshooting. The documentation benefits the engineering team in not only providing details on the solution to the problem, but the process on how that solution was determined and monitored. This increases everyone's learning from projects and problem solving.</li> <li>• Continuous Improvement: 100% of Food &amp; Aerosol Packaging employees (corporate and plant) attend a 2-day Yellow Belt training program. This program introduces the problem solving methodology across the division. Yellow Belts are earned upon completion of a project using Yellow Belt methodologies. Those activities are reviewed by management at the plants or a department in the corporate offices. If those activities provide solutions that can apply to other areas in the division they will be shared via email to the corporate department and distributed to all plant and department leadership.</li> </ul> <p><b>North America (Aerospace)</b></p> <ul style="list-style-type: none"> <li>• Knowledge Management using multiple tools and methods across the organization allows employees to capture, develop, share and effectively use our organizational knowledge. Access to the knowledge is primarily through portals including the Aerospace Front Page and the organization's/departments' SharePoint pages. The Front Page is a searchable listing with links to relevant business information and knowledge.</li> <li>• Explicit knowledge is available through multiple resources. The Ball Process Library is a controlled repository of work instructions, policies, procedures and standards. Formalized training is provided via the Talent and Organizational Development and/or organizational Strategic Business or Support Units (SBU &amp; SSU) on our systems, tools and processes. The training curriculum available is both technical and management-/leadership-oriented. Training is conducted in a classroom or is web-based, depending upon the knowledge being transferred.</li> </ul> <p><b>South America</b></p> <ul style="list-style-type: none"> <li>• Several tools are used to ensure the dissemination and sharing of knowledge in the company in order to support the business needs. Employees have access to the intranet, where they find information about all departments.</li> <li>• Aligned with strategic planning and challenges for each area, the company provides formal trainings, which aims to update and disseminate knowledge. The trainings are conducted in classroom, E-learning or on-the-job.</li> </ul> <p><b>Europe</b></p> <ul style="list-style-type: none"> <li>• In order to maintain the high expertise, we use our processes, procedures, etc., which are sustained in our Integrated Management System (IMS) by delivering a framework, method, and tools. Standardizing processes and procedures enables the organization to rollout best practices in the company. Careful control of documentation ensures that accurate information is available at the point where it is needed. In addition to that, we are aware that sharing knowledge is a key to our success.</li> <li>• An infrastructure with an IT-platform encourages and instills a culture of sharing and collaboration across functions and sites, an idea- and project management platform is used by employees in the innovation community across different functions—innovation, marketing, manufacturing and others.</li> </ul>			
<p>404-3 Percentage of employees receiving regular performance and career development reviews</p>	<p>100 percent of our employees receive a regular performance and career development reviews. Employees are entitled to have a development/performance discussion with their supervisor at least annually. The performance appraisal approach for all managerial staff was globally harmonized in 2013 and is based on an identical process and form. 100 percent of all non-union employees are covered by the performance appraisal system. The performance appraisal for all non-managerial staff follows a similar approach but differs due to country laws in its appearance.</p>			<p>SDG 5 SDG 8</p>
<p><b>Diversity and Equal Opportunity</b> <b>GRI-103 MANAGEMENT APPROACH 2016</b></p>				
<p>103-1 Explanation of the material topic and its Boundary</p>	<p><a href="http://www.ball.com/diversity-inclusion">www.ball.com/diversity-inclusion</a>; <a href="http://www.ball.com/careers">www.ball.com/careers</a></p>			
<p>103-2 The management approach and its components</p>	<p><a href="http://www.ball.com/diversity-inclusion">www.ball.com/diversity-inclusion</a>; <a href="http://www.ball.com/careers">www.ball.com/careers</a></p>			



**SPECIFIC STANDARD DISCLOSURES**

**RESPONSE | PAGE | LINK**

**OMISSION EXTERNAL SDG ASSURANCE LINK**

103-3 Evaluation of the management approach

[www.ball.com/diversity-inclusion](http://www.ball.com/diversity-inclusion); [www.ball.com/careers](http://www.ball.com/careers)

**GRI-405 DIVERSITY AND EQUAL OPPORTUNITY 2016**

405-1 Diversity of governance bodies and employees

2017 [Proxy Statement](#) (Pages 7-11); 2017 [Form 10-K](#) (Page 100); see 102-8 for breakdown of employees

SDG 5  
SDG 8

	2016			2017		
	Total	Male	Female	Total	Male	Female
Board of Directors	11	9	2	13	10	3
Executive Leadership Team	9	8	1	9	8	1

  

	2016			2017		
	<30	30-50	>50	<30	30-50	>50
Board of Directors	0	1	10	0	0	13
Executive Leadership Team	0	4	5	0	4	5

\*

Board of directors: <http://phx.corporate-ir.net/phoenix.zhtml?c=115234&p=irol-govboard>  
 Executive Leadership Team: <http://phx.corporate-ir.net/phoenix.zhtml?c=115234&p=irol-govManage>

405-2 Ratio of basic salary and remuneration of women to men

It is the philosophy of Ball to reward all employees equitably based on their competitive labor market data, performance levels and contributions to Ball Corporation's success while ensuring adherence to all applicable laws and regulations. Any globally consolidated data points on pay ratios are of very limited value, as they do not take into account regional differences, the respective roles within an employment category, etc. Globally, at the individual contributor level, the 2017 ratio of basic salary of women to men was 1.07. At the management level, the ratio was 1.06, with women's basic salary 6.5 percent higher than men's.

SDG 5  
SDG 8

**Non-discrimination**

**GRI-103 MANAGEMENT APPROACH 2016**

103-1 Explanation of the material topic and its Boundary

[www.ball.com/human-rights](http://www.ball.com/human-rights); Business Ethics Code of Conduct available at [www.ball.com/codeofconduct](http://www.ball.com/codeofconduct)

103-2 The management approach and its components

[www.ball.com/human-rights](http://www.ball.com/human-rights); Business Ethics Code of Conduct available at [www.ball.com/codeofconduct](http://www.ball.com/codeofconduct)

103-3 Evaluation of the management approach

[www.ball.com/human-rights](http://www.ball.com/human-rights); Business Ethics Code of Conduct available at [www.ball.com/codeofconduct](http://www.ball.com/codeofconduct)

**GRI-406 NON-DISCRIMINATION 2016**

406-1 Incidents of discrimination and

Ball will not tolerate discrimination and harassment in the workplace based on such factors as race, ethnicity, color,

SDG 5



SPECIFIC STANDARD DISCLOSURES		RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
corrective actions taken		<p>creed, religion, gender, age, national origin, marital status, sexual orientation, gender identity or expression, disability, genetic information, or veteran status, or any other characteristic protected by applicable federal, state, or local law. Ball will not tolerate sexual harassment in the workplace. In addition, Ball will not tolerate retaliation in the workplace.</p> <p>Ball has implemented a policy and procedure for employees to report discrimination, harassment, or retaliation. Employees are able to report any potential discrimination, harassment, or retaliation to his or her supervisor, Human Resources Manager, any other member of management, or call the Ball Compliance Hotline. Employees may also choose to remain anonymous, except as otherwise prohibited by law in local jurisdictions. Upon receiving a complaint, Ball may engage in a formal, documented investigation process conducted by human resources, the law department, or other appropriate personnel, including both internal complaints and charges filed with an outside agency as needed. Resolution generally occurs when the investigation is concluded and any necessary corrective actions are taken, such as disciplinary action or termination of employment. In the event that government agency charges or lawsuits are initiated by employees, they may result in court findings of discrimination, harassment, or retaliation.</p>			SDG 8 SDG 16
<b>Freedom of Association and Collective Bargaining</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-2	The management approach and its components	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-3	Evaluation of the management approach	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
<b>GRI-407 FREEDOM OF ASSOCIATION AND COLLECTIVE BARGAINING 2016</b>					
407-1	Operations and suppliers in which the right to freedom of association and collective bargaining may be at risk	Ball respects freedom of association and our employees' right to collective bargaining. We work with our subcontractors and suppliers to work to ensure they do the same.			SDG 8
<b>Child Labor</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-2	The management approach and its components	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-3	Evaluation of the management approach	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
<b>GRI-408 CHILD LABOUR 2016</b>					
408-1	Operations and suppliers at significant risk for incidents of child labor	We have a zero tolerance policy for the use of child or forced labor or for human trafficking practices and we have the same expectations of businesses in our supply chain. We continue to be vigilant through global policies and programs complemented with regular audits. For plants in China, regional management works closely with third party professional bodies to launch social audits. Ball has also taken steps to comply with the requirements of the California Transparency in Supply Chains Act of 2010, including revising Ball's corporate policy to address slavery specifically, developing a revised set of Supplier Guiding Principles and Framework as well as a compliance document for our suppliers, and implementing training of all employees involved in supply chain management.			
<b>Forced or Compulsory Labor</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-2	The management approach and its	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			



SPECIFIC STANDARD DISCLOSURES	RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
components				
103-3 Evaluation of the management approach	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
<b>GRI-409 FORCED OR COMPULSORY LABOR 2016</b>				
409-1 Operations and suppliers at significant risk for incidents of forced or compulsory labor	We have a zero tolerance policy for the use of forced labor or for human trafficking practices and we have the same expectations of businesses in our supply chain. We continue to be vigilant through global policies and programs complemented with regular audits. For plants in China, regional management works closely with third party professional bodies to launch social audits. Ball has also taken steps to comply with the requirements of the California Transparency in Supply Chains Act of 2010, including revising Ball's corporate policy to address slavery specifically, developing a revised set of Supplier Guiding Principles and Framework as well as a compliance document for our suppliers, and implementing training of all employees involved in supply chain management.			
<b>Security Practices</b>				
<b>GRI-103 MANAGEMENT APPROACH 2016</b>				
103-1 Explanation of the material topic and its Boundary	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-2 The management approach and its components	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-3 Evaluation of the management approach	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
<b>GRI-410 SECURITY PRACTICES 2016</b>				
410-1 Security personnel trained in human rights policies or procedures	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ; Ball rolled-out a global compliance-training program in 2012 that requires all employees to attend compliance training on a biennial schedule. This training covers various corporate policies, including Ball's Human Rights policy.			
<b>Rights of Indigenous Peoples</b>				
<b>GRI-103 MANAGEMENT APPROACH 2016</b>				
103-1 Explanation of the material topic and its Boundary	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ;			
103-2 The management approach and its components	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ;			
103-3 Evaluation of the management approach	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ;			
<b>GRI-411 RIGHTS OF INDIGENOUS PEOPLES 2016</b>				
411-1 Incidents of violations involving rights of indigenous peoples	There were no incidents or violations involving the rights of indigenous people during 2016-2017.			SDG 2 SDG 8
<b>Human Rights Assessment</b>				
<b>GRI-103 MANAGEMENT APPROACH 2016</b>				
103-1 Explanation of the material topic and its Boundary	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ;			
103-2 The management approach and its components	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ;			
103-3 Evaluation of the management approach	<a href="http://www.ball.com/human-rights">www.ball.com/human-rights</a> ;			
<b>GRI-412 HUMAN RIGHTS ASSESSMENT 2016</b>				
412-1 Operations that have been subject to human rights reviews or impact assessments	As part of our Human Rights due diligence process in Myanmar, it was determined that we have adequate measures in place in order to avoid any human rights violations (see <a href="http://photos.state.gov/libraries/burma/895/pdf/StateDeptReportJune2016.pdf">http://photos.state.gov/libraries/burma/895/pdf/StateDeptReportJune2016.pdf</a> ). We continue to monitor the situation locally and will formally re-assess our performance in due time.			



SPECIFIC STANDARD DISCLOSURES		RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
412-2	Employee training on human rights policies or procedures	Ball rolled-out a new global compliance-training program in 2012 that requires all employees to attend compliance training on a biennial schedule. This training covers various corporate policies, including Ball's Human Rights policy.			
412-3	Significant investment agreements and contracts that include human rights clauses or that underwent human rights screening	Ball seeks to avoid indirect involvement in human rights abuses. In the value chain of our products, we are identifying where adverse human rights impacts from human trafficking and Conflict Minerals may occur. We respect and promote human rights when engaging with subcontractors, suppliers, customers, joint venture and other partners. We do this, as appropriate, through proactive engagement, monitoring and contractual provisions.  We also seek to respect human rights and to develop an understanding of the cultures, customs and values that prevail in our local communities by developing an inclusive and open dialogue with the people affected by our operations.			
<b>Local Communities</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/community-ambassadors">www.ball.com/community-ambassadors</a> ; <a href="http://www.ball.com/ball-foundation">www.ball.com/ball-foundation</a> ; <a href="http://www.ball.com/employee-giving-volunteering">www.ball.com/employee-giving-volunteering</a>			
103-2	The management approach and its components	<a href="http://www.ball.com/community-ambassadors">www.ball.com/community-ambassadors</a> ; <a href="http://www.ball.com/ball-foundation">www.ball.com/ball-foundation</a> ; <a href="http://www.ball.com/employee-giving-volunteering">www.ball.com/employee-giving-volunteering</a>			
103-3	Evaluation of the management approach	<a href="http://www.ball.com/community-ambassadors">www.ball.com/community-ambassadors</a> ; <a href="http://www.ball.com/ball-foundation">www.ball.com/ball-foundation</a> ; <a href="http://www.ball.com/employee-giving-volunteering">www.ball.com/employee-giving-volunteering</a>			
<b>GRI-413 LOCAL COMMUNITIES 2016</b>					
413-1	Operations with local community engagement, impact assessments, and development programs	<a href="http://www.ball.com/community-ambassadors">www.ball.com/community-ambassadors</a> ; <a href="http://www.ball.com/ball-foundation">www.ball.com/ball-foundation</a>			
413-2	Operations with significant actual or potential negative impacts on communities	We do not consider any of our operations to have significant actual or potential negative impacts on local communities.			SDG 1 SDG 2
<b>Supplier Social Assessment</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/responsible-sourcing">www.ball.com/responsible-sourcing</a>			
103-2	The management approach and its components	<a href="http://www.ball.com/responsible-sourcing">www.ball.com/responsible-sourcing</a> Ball is also utilizing SEDEX platform (Buyer/Supplier (AB) membership holder): <a href="http://www.sedexglobal.com">www.sedexglobal.com</a>			
103-3	Evaluation of the management approach	<a href="http://www.ball.com/responsible-sourcing">www.ball.com/responsible-sourcing</a>			
<b>GRI-414 SUPPLIER SOCIAL ASSESSMENT 2016</b>					
414-1	Percentage of new suppliers screened using social criteria	<a href="http://www.ball.com/responsible-sourcing-framework">www.ball.com/responsible-sourcing-framework</a>  Our <a href="#">Supplier Guiding Principles</a> address certain impacts on society. Ball is requesting that its suppliers sign two additional certification documents regarding conflict minerals and forced labor and slavery.			SDG 5 SDG 8 SDG 16
<b>Public Policy</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/stakeholder-engagement">www.ball.com/stakeholder-engagement</a>			
103-2	The management approach and its components	<a href="http://www.ball.com/stakeholder-engagement">www.ball.com/stakeholder-engagement</a>			
103-3	Evaluation of the management approach	<a href="http://www.ball.com/stakeholder-engagement">www.ball.com/stakeholder-engagement</a>			



SPECIFIC STANDARD DISCLOSURES		RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
<b>GRI-415 PUBLIC POLICY 2016</b>					
415-1	Political contributions	Ball Corporation has a political action committee (BallPAC) that contributes financially to federal candidates in the United States. Established more than 40 years ago, BallPAC is a voluntary, nonpartisan political action committee. It operates in accordance with all applicable laws. In 2016 and 2017, the BallPAC raised \$315,000 and \$234,700 respectively, and spent \$252,000 and \$95,500 respectively.			SDG 16
<b>Customer Health and Safety</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/product-stewardship">www.ball.com/product-stewardship</a>			
103-2	The management approach and its components	<a href="http://www.ball.com/product-stewardship">www.ball.com/product-stewardship</a>			
103-3	Evaluation of the management approach	<a href="http://www.ball.com/product-stewardship">www.ball.com/product-stewardship</a>			
<b>GRI-416 CUSTOMER HEALTH AND SAFETY 2016</b>					
416-1	Assessment of the health and safety impacts of product and service categories	Ball strictly adheres to internal procedures and test protocols for materials used to manufacture our containers to ensure the health and safety of consumers. Depending on markets served, regulatory compliance documents and data certifying that the materials we use in our production meet or exceed, as applicable, U.S. Food and Drug Administration (FDA) regulations, the European Union's health and consumer protection laws, General Administration of Quality Supervision, Inspection and Quarantine of the People's Republic of China regulations and Health Canada standards. In order to utilize the necessary materials to protect the public and to preserve the integrity and safety of the products in our packaging, the composition of beverage, food and aerosol containers are carefully and continually studied to ensure we operate in line with our customers' requirements. Ball and its customers also perform selective organoleptic (sensory) testing as well as shelf life studies.			SDG 16
416-2	Incidents of non-compliance concerning the health and safety impacts of products and services	Where Ball identifies any incident of non-compliance with regulations and voluntary codes concerning the health and safety impacts of products and services, we work to resolve the identified issues.			
<b>Marketing and Labeling</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					
103-1	Explanation of the material topic and its Boundary	<a href="http://www.ball.com/product-stewardship">www.ball.com/product-stewardship</a> ; <a href="http://www.ball.com/legal-notice">www.ball.com/legal-notice</a>			
103-2	The management approach and its components	<a href="http://www.ball.com/product-stewardship">www.ball.com/product-stewardship</a> ; <a href="http://www.ball.com/legal-notice">www.ball.com/legal-notice</a>			
103-3	Evaluation of the management approach	<a href="http://www.ball.com/product-stewardship">www.ball.com/product-stewardship</a> ; <a href="http://www.ball.com/legal-notice">www.ball.com/legal-notice</a>			
<b>GRI-417 MARKETING AND LABELING 2016</b>					
G4-DMA	Disclosure on Management Approach	Disclosure 417-2 Incidents of non-compliance concerning product and service information and labeling Disclosure 417-3 Incidents of non-compliance concerning marketing communications			
417-1	Requirements for product and service information and labeling	Our packaging products are sold to consumer and household goods companies who determine product information and labeling for the end user.			SDG 16
417-2	Incidents of non-compliance concerning product and service information and labeling	Ball has not encountered any incidents of non-compliance with regulations and voluntary codes related to product and service labelling in 2016-2017.			SDG 16
417-3	Incidents of non-compliance concerning marketing communications	Ball has not encountered any incidents of non-compliance with regulations and voluntary codes concerning marketing communications in 2016-2017, including advertising, promotion and sponsorship.			SDG 16
<b>Customer Privacy</b>					
<b>GRI-103 MANAGEMENT APPROACH 2016</b>					





SPECIFIC STANDARD DISCLOSURES	RESPONSE   PAGE   LINK	OMISSION	EXTERNAL ASSURANCE	SDG LINK
103-1 Explanation of the material topic and its Boundary	<a href="http://www.ball.com/privacy-statement">www.ball.com/privacy-statement</a>			
103-2 The management approach and its components	<a href="http://www.ball.com/privacy-statement">www.ball.com/privacy-statement</a>			
103-3 Evaluation of the management approach	<a href="http://www.ball.com/privacy-statement">www.ball.com/privacy-statement</a>			
<b>GRI-418 CUSTOMER PRIVACY 2016</b>				
418-1 Substantiated complaints regarding breaches of customer privacy and losses of customer data	Ball has not received any substantiated complaints regarding a breach of customer privacy or the loss of customer data in 2016-2017.			
<b>Socio-Economic Compliance</b>				
<b>GRI-103 MANAGEMENT APPROACH 2016</b>				
103-1 Explanation of the material topic and its Boundary	Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-2 The management approach and its components	Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
103-3 Evaluation of the management approach	Business Ethics Code of Conduct available at <a href="http://www.ball.com/codeofconduct">www.ball.com/codeofconduct</a>			
<b>GRI-419 SOCIO-ECONOMIC COMPLIANCE 2016</b>				
419-1 Non-compliance with laws and regulations in the social and economic area	Ball has not received any significant fines for non-compliance with laws and regulations concerning the provision and use of products and services in 2016-2017.			SDG 16

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